



# Community How To Guide On...

COALITION  
BUILDING

NEEDS  
ASSESSMENT  
& STRATEGIC  
PLANNING

EVALUATION

PREVENTION  
& EDUCATION

ENFORCEMENT

PUBLIC  
POLICY

MEDIA  
RELATIONS

SELF  
SUFFICIENCY

RESOURCES

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**NTIS**

## Underage Drinking Prevention





# Community How To Guide On...ENFORCEMENT

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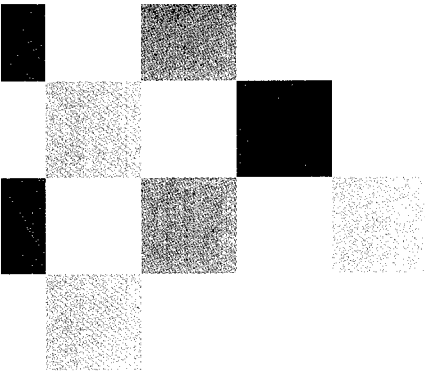
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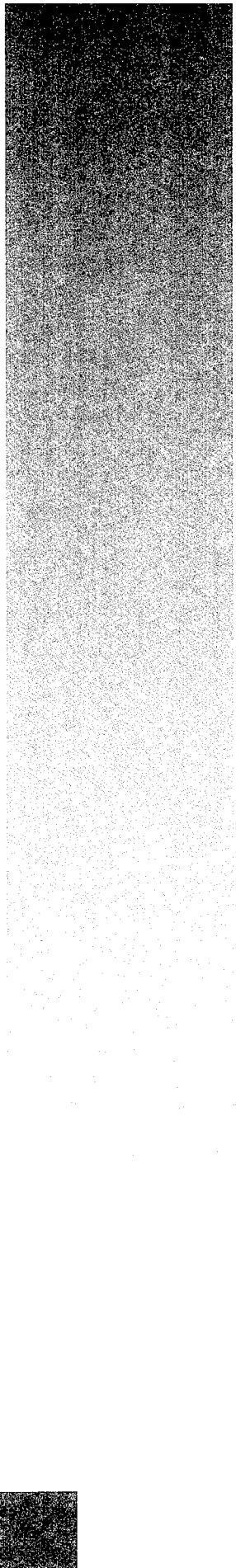






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## EXECUTIVE SUMMARY



Cities, counties, and neighborhoods across America are confronting the problem of underage drinking and its consequences. The National Association of Governors' Highway Safety Representatives (NAGHSR) is a professional organization representing the chief highway safety officers from each state, the District of Columbia, and the U.S. territories. NAGHSR is committed to prevent and reduce illegal underage alcohol consumption and to curb the terrible toll underage drinking takes on our society.

As a result of their commitment to underage drinking issues and to assist cities, counties, and neighborhoods, NAGHSR, with financial assistance from the National Highway Traffic Safety Administration (NHTSA), developed a series of "Community How To Guides." These "Community How To Guides" address fundamental components of planning and implementing a comprehensive underage drinking prevention program. The Guides are designed to be brief, easy-to-read, and easy-to-use. Each guide contains a resource section to assist readers in obtaining additional and detailed information about the topics covered in that guide. The appendices include useful tools for each topic area that provide coalitions and organizations a jump-start in their planning and implementation activities.

Topics covered in the "Community How To Guides" include:

- Coalition Building
- Needs Assessment and Strategic Planning
- Evaluation
- Prevention and Education
- Underage Drinking Enforcement
- Public Policy Advocacy
- Media Relations
- Self-Sufficiency
- Resources

In addition to the "Community How To Guides," NAGHSR also developed a *Case Study* of a model community underage drinking prevention program. This study is designed to give other communities which are considering starting an underage drinking prevention program or those that have an existing effort, some practical, real-world ideas and suggestions. The comprehensive approach, set forth in the "how to guides," has been applied in this community to great success.

The impetus for developing these guides came from the work NAGHSR has done in the area of underage drinking prevention for NHTSA and the Office of Juvenile Justice and Delinquency Prevention (OJJDP). Based on their work in the underage drinking prevention arena, NAGHSR felt the comprehensive approach, first piloted in the Washington, D.C. area, could be of benefit to any community seeking to reduce underage drinking.



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Although these guides are targeted at the underage drinking issue, the basic process and information can be applied by any community-based organization striving to affect a social problem through changing community norms. These guides will also prove useful to Safe Communities, Students Against Destructive Decisions (SADD) chapters, Mothers Against Drunk Driving (MADD) organizations, police departments, and youth groups belonging to the National Organizations for Youth Safety (NOYS).

Violence prevention organizations may also find the information and tools helpful since the process to reduce incidents of youth violence is the same process detailed in these guides.

Contrary to conventional wisdom, underage drinking is not inevitable. It is preventable. These "*Community How To Guides*" are designed to help individuals or organizations to craft programs that will help the young people in their communities remain alcohol-free.

## Community How To Guide On...ENFORCEMENT ACKNOWLEDGEMENTS

**T**he production of the nine "*Community How To Guides*" on underage drinking prevention involved the efforts of a number of people who helped develop the ideas and suggestions for each publication and provided the time to review the draft materials.

The National Highway Traffic Safety Administration (NHTSA) wishes to thank Barbara Harsha, Executive Director, National

Association of Governors' Highway Safety Representatives (NAGHSR) for her leadership in the development of the "*Community How To Guides*" and to Pam Beer of PMB Communications and Trina Leonard of Leonard Communications, the authors of the Guides. Special thanks to Nancy Rea, Executive Director of *Drawing the Line on Underage Alcohol Use* in Montgomery County, Maryland for her expertise and review.



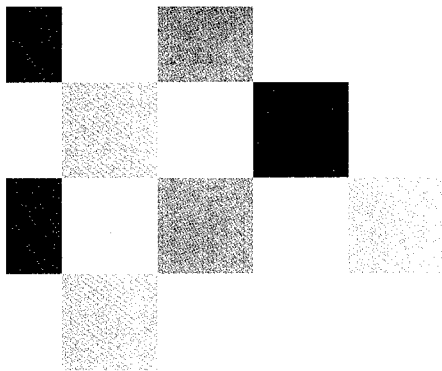
## Community How To Guide On...ENFORCEMENT INTRODUCTION

**T**his “*Community How To Guide on Underage Drinking Enforcement*” details what coalitions and organizations need to do to insure the enforcement and judicial communities are active partners in the effort to reduce underage drinking. One of the first tasks discussed is the necessity for groups to understand the needs and concerns of law enforcement and to recognize that underage drinking enforcement differs from traditional enforcement methods.

There may be obstacles that deter the effective enforcement of underage drinking laws and this booklet describes several of the most common along with proposed solutions. The booklet also discusses how to keep underage drinking enforcement a priority. In addition, successful underage drinking enforcement strategies that have been used in other communities are described and contact information provided in the resource section. Finally, the booklet describes how to involve the judicial community, which is a key to an effective underage drinking enforcement strategy.

One of the first tasks is the necessity for groups to understand the needs and concerns of law enforcement and to recognize that underage drinking enforcement differs from traditional enforcement methods.





## Community How To Guide On... UNDERAGE DRINKING ENFORCEMENT

**E**nforcement of underage drinking laws has a strong deterrent effect on underage drinking. Swift and sure enforcement has contributed greatly to nationwide decreases in drinking and driving, and similar results can be achieved with other alcohol-related offenses involving youth.

Research and experience confirm strong enforcement helps to reduce underage drinking by limiting access to alcohol, reducing the opportunities for youth to drink, and curbing impaired driving. Consistent, vigorous enforcement reinforces the message that adults and youth must be responsible for their actions and that violating the law is unacceptable. Enforcement helps to validate the activities of prevention specialists and can help treatment specialists to identify youth in need of help.

### ENLISTING PARTICIPATION BY LAW ENFORCEMENT AGENCIES

Enforcement agencies are often understaffed and under-funded. As a result, encouraging them to implement underage drinking prevention programs may be a challenge.

Prior to asking a law enforcement agency or alcohol beverage control agency to participate in an underage drinking prevention campaign, organizers should become acquainted with both the resources and the needs of the enforcement agency(ies). Among the critical questions to answer are:

- Which division, department or office has prime responsibility for enforcement of underage drinking laws? In some cases, several divisions may have responsibility.

- Which agency has primary responsibility for enforcing impaired driving laws on local highways, in the suburbs, in the town, county or city? In some communities, separate agencies may have responsibility in each of these locations.
- Which agency has primary responsibility for responding to calls for parties?
- What is the current workload of the agency or department? Has there been a recent upsurge in overall crime, caseloads or calls for service?
- How much of the department's resources are devoted to overall traffic enforcement such as, drinking and driving, speeding, occupant protection and reckless driving?
- How many agents or employees does the alcohol beverage control agency have available to regulate liquor licensees? How many liquor licensees are located in the community?
- What is the current budgetary situation in the state, county or city that funds the department? Has the department/agency suffered cutbacks, been forced to downsize or to reduce community outreach?
- What kinds of community outreach programs does the department conduct? Do they participate in Officer Friendly or Drug Abuse and Resistance Education (DARE) programs or assign officers to the local public schools?
- Does the department have an active community policing program?
- Does the alcohol beverage control agency conduct roll call presentations on alcohol laws and regulations for police officers or provide training at the police academy?
- Do law enforcement and alcohol beverage control agencies in the area cooperate on underage drinking enforcement activities?

Research and experience confirm strong enforcement helps to reduce underage drinking by limiting access to alcohol, reducing the opportunities for youth to drink, and curbing impaired driving.



Understanding the current needs, concerns and overall operation of a department or alcohol beverage control agency before asking them to participate in an underage drinking effort will help the coalition or task force work more effectively with the enforcement agencies.

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- Are law enforcement officers trained in Standardized Field Sobriety Testing (SFST) and Drug Evaluation and Classification?
- How cumbersome or difficult are the procedures that an officer must follow to effect an arrest of a juvenile for an alcohol-related offense?
- How do juvenile court judges in the community routinely deal with juvenile offenders apprehended for alcohol-related offenses?

Relationships between law enforcement agencies and coalitions or task forces can be greatly enhanced if coalition members take the time to understand how law enforcement agencies are structured.

- Law enforcement agencies differ from coalitions and non-profit organizations. Unlike coalitions in which all members are equal participants and decisions are made by consensus, police and sheriffs departments are hierarchical and operate on a command structure, much like military organizations. The structure and culture of law enforcement agencies shape their internal decision making, operations and communications. A street level officer, for instance, may not be able to communicate directly with a captain, and may be required to communicate through a sergeant or lieutenant first. In some cases, a knowledgeable, sensitive coalition member or project director from outside the police department may actually become an effective "messenger" between different levels of a police department, speeding communication

about specific issues. Normally, requests for participation by a law enforcement agency must first be sent to the chief who will then assign the matter to the appropriate office or division.

- Unlike agencies or non-profits in which individuals may stay in one job and carry out the same responsibilities for long periods of time, law enforcement officers may be reassigned frequently and assume entirely new duties. Those reassignments can hamper continuity and communications, unless the agencies or individuals working with the law enforcement agency know how to compensate for these changes. If organizations or individuals trying to work with law enforcement agencies do not understand their working systems, effective cooperation will be much more difficult to achieve.

The project director and other coalition members should learn how their law enforcement agencies are structured and how they operate in order to involve them in developing strategies they realistically can employ.

Understanding the current needs, concerns and overall operation of a department or alcohol beverage control agency BEFORE asking them to participate in an underage drinking effort will help the coalition or task force work more effectively with the enforcement agencies. Working cooperatively with the law enforcement agencies to solve their problems may build bonds between the coalition and the agencies and enhance their relationship in the future.

### A DIFFERENT ENFORCEMENT APPROACH FOR YOUTH

Before any law enforcement agency can embark on a targeted underage drinking enforcement program, law enforcement officials and individual officers must be aware that young people and adults drink at different times and in different places. Adults and young people also manifest the effects of drinking differently when they drive. Failure to recognize these differences results in less effective law enforcement efforts. If a police department conducts a sobriety checkpoint during the prom/graduation season, for instance, but locates the checkpoint in areas frequented by adults and not by youth, the checkpoint will be less effective. Following are some of the critical differences that shape law enforcement strategies taken from a study conducted for NHTSA entitled *"Obstacles to Enforcement of Youthful (Under 21) Impaired Driving"*:

#### ■ **Location**

- Youthful drinking often occurs at remote locations (field or beach parties) or in neighborhoods at house parties. Older teens and individuals 18-20 may also drink in taverns, bars and restaurants. Young people tend to congregate in large numbers at private homes, in parks or fields, at concerts or sporting events. Such large concentrations of young people may overwhelm available law enforcement resources unless enforcement agencies are properly prepared.

#### ■ **Time**

- Youth drink at different times than adults. Young people commonly drink heavily on the weekends, (especially during the school

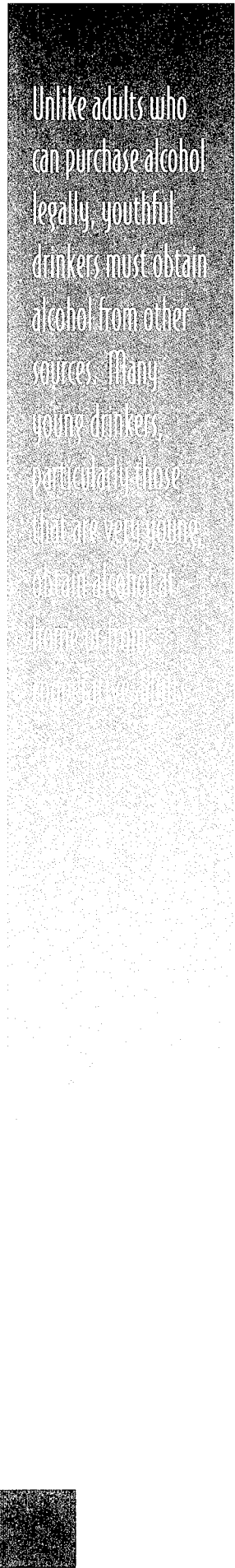
year). Young people drink at different times — after school, for instance, and between 10 P.M. and 1 A.M. on weekends. Adult drinking occurs throughout the week and citations for adult drinking and driving usually occurs later between 2 A.M. and 3 A.M.

#### ■ **Driving Cues**

- Zero tolerance laws may be especially difficult to enforce because young drivers with very low BACs (blood alcohol content) are less likely than more impaired drivers to exhibit the driving cues that traditionally provide the basis for an initial traffic stop. Even after stopping a young driver, an officer may not find probable cause to investigate further if the driver has a very low blood alcohol content (BAC). For example, a driver with a BAC of 0.02 is less likely than a driver with a higher BAC to have the strong scent of alcohol on his or her breath, to have bloodshot eyes, or to exhibit the other cues that officers use to establish probable cause for further investigation. For this reason, many enforcement agencies use passive alcohol sensors to detect alcohol in the ambient air.

#### ■ **Crashes at lower blood alcohol levels**

- Underage drinking drivers experience more fatalities at lower blood alcohol levels than do adults. Young people are less experienced drivers and drinkers than adults. A young person may be at significantly greater risk for a serious crash than an adult who has consumed the same amount of alcohol and is roughly the same size.



Unlike adults who can purchase alcohol legally, youthful drinkers must obtain alcohol from other sources. Many young drinkers, particularly those that are very young, obtain alcohol at home or from friends.

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## ■ *Access to alcohol*

- Unlike adults who can purchase alcohol legally, youthful drinkers must obtain alcohol from other sources. Many young drinkers, particularly those that are very young, obtain alcohol at home or from cooperative adults. Older teens are more likely than young teens to use false identification and to drink at other people's homes.

## THE ROLE OF ALCOHOL BEVERAGE CONTROL AGENCIES

Enforcement of underage drinking laws and regulations, in most states, involves more than police departments. Most states regulate the sale of alcohol either through a controlled or open system. In either system, the outlets (retail stores, grocery and convenience stores, bars, restaurants, etc.) must be licensed to sell alcoholic beverages.

States with control systems have the following characteristics:

- The government operates as a wholesaler or retailer or both of alcoholic beverages, liquor, sometimes wine (or a limited selection of wine) or beer.
- The government may sell alcoholic beverages at their own retail outlets, often referred to as agency stores, or they enter into contracts with the private sector to sell liquor or other alcoholic beverages at retail locations.
- The government does not operate their own retail outlets, but sells alcohol directly to the retailers as in Michigan. In these cases, the amount of markup is set by law and prices are controlled at the retail level in the form of a discount.

Open systems have the following characteristics:

- A manufacturer or importer will sell alcohol directly to a wholesaler who will sell it to a retail operation. Regulation and oversight of the sale of alcohol is handled by a state or local alcohol beverage control agency and/or local law enforcement.

The licensing and regulation of alcoholic beverages differs from state to state, and it will be necessary for coalitions to determine how alcoholic beverages are regulated in their state. Following are some general questions that must be answered with respect to alcohol beverage control:

- Which state or local agency is the regulatory body?
- Is there a board that oversees licensee actions and activities?
  - In some states, there is an alcohol beverage control department or agency at the state level and in other states, it will be the state's taxing authority.
  - The state of Maryland has no state level agency for alcoholic beverage control, and all regulation and licensing is handled at the local level. Montgomery County, Maryland is the only county in the country with a control system.

Once a coalition has determined how alcohol is licensed and regulated in their state or county, it is necessary to determine the specific laws, regulations and penalties for licensees who sell alcohol to minors (See *Community How To Guide on Public Policy*). In the case of alcohol sales to minors, it is important to not only determine what the laws and regulations are, but what happens in actual practice to those who violate the law. Following are some suggestions



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Once a coalition has determined how alcohol is licensed and regulated in their state or county, it is necessary to determine the specific laws, regulations and penalties for underage drinking. This is done by

The following states are considered to have a control system:

Alabama	Montana	Utah
Idaho	New Hampshire	Vermont
Iowa	North Carolina	Virginia
Maine	Ohio	Washington
Michigan	Oregon	West Virginia
Mississippi	Pennsylvania	Wyoming

The following states and U.S. territories are considered to have an open system:

Alaska	Indiana	New York
Arizona	Kansas	North Dakota
Arkansas	Kentucky	Oklahoma
California	Louisiana	Rhode Island
Colorado	Maryland	South Carolina
Connecticut	Massachusetts	South Dakota
Delaware	Minnesota	Tennessee
District of Columbia	Missouri	Texas
Florida	Nebraska	Wisconsin
Georgia	Nevada	Puerto Rico
Hawaii	New Jersey	
Illinois	New Mexico	

on how coalitions can evaluate their alcohol beverage system.

- Are the fines or license suspensions sufficient to act as a deterrent or do those fines and suspensions just pay "lip service" to the problem of underage drinking?
- Are the penalties that are given always the minimum and are licenses ever revoked for repeated sales to minor violations?
- Are penalties also prescribed and imposed for minors who attempt to purchase alcohol?
- Does the alcohol beverage control agency have an alcohol server/seller training program and how is it delivered to licensees?
- Does the law allow individuals under the age of 21 to serve, sell or deliver alcohol? Many states have an employment exemption for youth 18 and older. Underage youth may obtain alcohol more easily from a young person who is closer to their age than an adult seller.
- Are underage drinking prevention display materials distributed to retailers and are they used?
- Are there any restrictions on the amount of alcohol advertising that can be displayed by retailers or on the placement of such advertising, i.e., prohibited within 1,000 feet of a school or playground?

Strong enforcement, coupled with swift and sure consequences for those who violate the law, is the cornerstone of a successful underage drinking prevention initiative.

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In addition to determining the laws and regulations, coalitions must determine who enforces them. In some states, alcohol beverage control agencies will have sworn officers who enforce alcohol laws and regulations. Other states will have inspectors who have the authority to regulate the sale of alcohol, but have no arrest powers. These officers can work independently or in conjunction with sworn officers. In other states, the duties are given directly to local, county or state law enforcement departments.

Communities that want to control the general availability of alcohol to youth can work to implement the following licensing and control strategies:

- Limit the number and location of stores in certain areas of the community and determine whether the concentration of stores should be limited. Licensing authorities may find it useful to study enforcement records for the area, including arrests and citations for fighting, loitering, vandalism, impaired driving and other problems. The close proximity of schools or playgrounds may also be a factor in a licensing authority's decision.
- Limit the hours of sale in license agreements or license renewal.
- Change state law or regulation to require that individuals be 21 to serve, sell or deliver alcohol. Delivery is important because some communities allow beer to be delivered along with a pizza or other food, making it easier for underage youth to obtain alcohol.
- Prohibit minors from entering bars.
- Determine whether youth are purchasing alcohol on the Internet. Several states have moved to file criminal and civil charges against companies for selling alcohol to minors via the Internet. The U.S. Congress is

also considering legislation which would simplify the legal process for prosecuting those who are illegally distributing alcohol in this fashion.

It is critical, however, that in addition to law enforcement departments, underage drinking prevention coalitions identify and work closely with the agency that regulates the sale of alcohol in their state. Often it is these officials who have the best understanding of underage drinking laws and regulations and will have insight in how to solve the problem from both a supply and demand perspective.

## UNDERSTANDING THE JUDICIAL SYSTEM

As noted in previous sections, the action or inaction of the judicial system in cases involving underage drinking has an effect on enforcement. To the novice, the judicial system may seem complicated and confusing, but it is important that they be an integral part of any comprehensive underage drinking prevention program. Strong enforcement, coupled with swift and sure consequences for those who violate the law, is the cornerstone of a successful underage drinking prevention initiative.

### *How Underage Drinking Cases Are Handled*

Underage drinking offenses may be referred to a number of courts including juvenile court, traffic court, criminal court, or family court, depending on the offense and the state's laws. Juvenile and criminal courts differ from one another in certain respects. In the book *"Sentencing and Dispositions of Youth DUI (Driving Under the Influence) and Other Alcohol Offenses: A Guide for Judges and Prosecutors,"* developed by NHTSA and the National Institute on Alcohol



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Abuse and Alcoholism (NIAAA), a comparison is given between these two systems highlighting both their differences and any common ground. *Appendix #1* is a *Comparison of Juvenile and Criminal Justice Systems* taken from the NHTSA/NIAAA book.

Following is an outline of how underage drinking cases are processed in juvenile courts.

- A law enforcement officer issues a citation.
- The case is referred to either the prosecutor's office or a juvenile intake department.
- At juvenile intake, a determination is made on whether the case will be handled formally (adjudicatory hearing) or informally.
  - Informal cases do not involve the filing of a petition and many are:
    - Dismissed outright
    - Result in informal probation
    - Referred to another agency
    - Result in the payment of fines or restitution
    - Involve voluntary treatment outside the home
- If the case is processed formally, a petition is filed and the case is placed on the court calendar for an adjudicatory hearing (in rare instances, a waiver hearing is set for the judge to decide whether the case is transferred to criminal court).
  - At the adjudicatory hearing, the judge decides whether the youth is adjudicated delinquent (drinking and driving offenses) or is a status offender (underage purchase, possession, consumption).
- The judge then determines the disposition (the juvenile justice system equivalent of a sentence) of the case, which may include:
  - Probation
  - Referral to another agency or treatment program
  - A fine, restitution or community service
  - Commitment to a residential facility
  - In most cases, the outcome involves multiple sanctions, such as probation plus community service
- If there is no adjudication, the case is usually dismissed. Also the youth may agree to some sort of voluntary diversion option.

According to "*Sentencing and Dispositions of Youth DUI and Other Alcohol Offenses*," case processing for alcohol-related offenses also varies among the adult court systems. For youth 18-20 years old, the vast majority are handled in adult courts. In some states (e.g., Florida), 16- and 17-year-olds go to traffic court for DUI offenses, but not for other alcohol offenses.

One of the complaints, with respect to the judicial system, is a lack of consequences for youth cited for underage drinking offenses (except drinking and driving). This lack of consequences is often cited by youth as a reason to keep drinking. Research shows, however, that when consequences are applied along with some type of rehabilitation and follow up, the outcome is more effective than any single approach.

One of the complaints, with respect to the judicial system, is a lack of consequences for youth cited for underage drinking offenses (except drinking and driving). This lack of consequences is often cited by youth as a reason to keep drinking.

Because a driver's license is a prized possession for most young people, license suspension or revocation can have a powerful deterrent effect on underage drinking and impaired driving.

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### ***Sanctions for Alcohol-Related Offenses***

Following are some recommended sanctions for alcohol-related offenses excerpted from the NHTSA/NIAAA book.

#### ■ ***Incarceration***

Incarceration is rarely used for alcohol-related offenses involving youth except drinking and driving. Under the Juvenile Justice and Delinquency Prevention Act, with limited exceptions, juveniles cannot be held in adult jails or lockups and separate facilities must be available for juvenile offenders. Under the Office of Juvenile Justice and Delinquency Prevention (OJJDP) Formula Grants regulations, juveniles can be held in an adult facility, provided there is no contact with adults, for up to six hours. In addition, for status offenses (underage purchase, possession and consumption), the regulations allows juveniles to be held in a juvenile detention facility for up to 24 hours, exclusive of weekends and holidays.

#### ■ ***Out-of-Home Placement and Weekend Intervention***

Juveniles may be placed in facilities outside the home. These facilities include group homes, residential treatment centers, youth ranches and other secure facilities. Under weekend intervention programs, juvenile DUI offenders attend a weekend residential program where they receive screening and assessment for alcohol and other drug use.

#### ■ ***Probation***

Probation is a sanction in which a number of conditions can be ordered by the court and monitored by a probation department. Probation for young people charged with underage drinking and/or impaired driving may include the following:

- Restricting access to or use of an automobile
- Forbidding the use of alcohol or illegal drugs
- Limiting access to certain places and association with certain persons
- Mandating participation in educational, medical or counseling programs
- Submitting to searches
- Submitting to questioning and giving information about others
- Obtaining permission before traveling
- Conforming to a curfew
- Attending school, obtaining employment, and/or requiring attendance at an alcohol abuse education course
- Providing samples for blood or urine testing
- Other special conditions set by the court

Other probation sanctions include intensive probation which involves more frequent contact with a probation officer and home detention which allows the young person to drive during the day for work or school, but not at night. Home detention may involve the use of an electronic monitoring device.

#### ■ ***Licenses Suspension/Revocation***

Because a driver's license is a prized possession for most young people, license suspension or revocation can have a powerful deterrent effect on underage drinking and impaired driving. Some states have use/lose laws, which place license restrictions on offenses that are not related directly to driving (purchase, consumption, possession, use of a fake ID). In order for the



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license to be suspended under these laws, however, the courts must notify the state's motor vehicle licensing department.

## ■ ***Community Service***

Community service is often used by the courts for status offenses. In order for this sanction to have an impact on the offender, it must be meaningful, offer constructive work and have some type of valuable educational experience.

## ■ ***Fines/Restitution***

Underage offenders may be fined for drinking or impaired driving. This fine can be received as part of the citation issued by the arresting officer or it can be a court-ordered sanction. The fines vary in amount and may increase with repeat offenses. Restitution is a sanction where the offender is held accountable for any financial losses they have caused the victims.

If a juvenile cannot pay restitution, his or her parents may be ordered to pay.

## ■ ***Attendance at Victim Impact Panels***

Victim impact panels, often sponsored by Mothers Against Drunk Driving (MADD), give underage drinking and driving offenders an opportunity to hear the harm they have caused. Sometimes these panels are presented to a general high school audience as a prevention/education tool.

## ■ ***Emergency Department Visitation***

This sanction requires offenders to spend a certain number of hours observing the medical treatment of patients in an emergency department or shock trauma unit. Often these visits are scheduled on weekend evenings, when patients are most likely to be the victim of an alcohol-related crash.

NHTSA is working with the Corrective Behavior Institute (CBI) to replicate the Victim Impact Panel and Emergency Department Visitation programs in various sites throughout the country. Information will be available on these initiatives from the Police Executive Research Forum (PERF).

## ■ ***Parental Involvement***

When underage drinking offenses involve juveniles, parents or guardians may be held responsible. The National District Attorneys Association (NDAA) recommends that parents be required to do the following:

- Attend all court proceedings, providing their employers allow them to do so.
- Participate in rehabilitative programs with their children.
- Pay costs associated with the prosecution, placement and treatment of their children, within appropriate limits, and subject to their ability to pay.
- Participate in court-ordered programs that require parental involvement.
- Participate in parenting skills classes when appropriate.
- Take responsibility at some level, for restitution to victims, if any.

When working with the judiciary, underage drinking prevention coalitions need to determine how the various agencies and departments within the system feel about underage drinking. Do they take the issue seriously? Do they understand its relationship to crime and other problems? In addition, coalitions should find out how the justice system relates to law enforcement and what is the disposition of cases involving underage drinking and underage drinking and driving.

Compliance checks encourage licensees to be diligent in complying with the law and therefore help to reduce the availability of alcohol to minors.

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### SUCCESSFUL UNDERAGE DRINKING ENFORCEMENT STRATEGIES

#### *Covert Underage Buyer Programs/Compliance Checks*

Vigorous use of compliance checks can reduce the illegal sale of alcohol to underage youth. These compliance checks, also known as Covert Underage Buyer (CUB) or decoy programs, are designed to encourage compliance by alcohol vendors. Compliance checks encourage licensees to be diligent in complying with the law and therefore help to reduce the availability of alcohol to minors. Insuring that appropriate consequences are applied to violators is essential because consequences motivate licensees who might otherwise remain lax. It is recommended that compliance checks be conducted by appropriate law enforcement agencies, with support from the underage drinking prevention coalition or organization.

#### ■ *How Compliance Checks Are Conducted*

- a. Check with the county or city prosecutor or comparable legal official to determine what strictures might apply to a compliance check under state and local law.
  - Be certain, for instance, that underage volunteers will not be charged with attempting to buy alcohol illegally and that the program does not violate other laws or procedures.
- b. Insure the planned checks will not interfere with any undercover or other enforcement efforts.
  - As former Dallas Police Chief Bennie Click said, "If a local group decided to conduct a compliance check without clearing it with us first, they could

inadvertently compromise another law enforcement effort or end up in a store or bar which we know is dangerous."

- c. Carefully select and train underage youth in the laws regarding alcohol sale and purchase. Make sure they are thoroughly familiar with the protocol for the testing program.
  - Volunteers for a compliance check can be police cadets, criminology students from a local college or they can be recruits from Boys Scouts or Eagle Scouts, Girl Scouts or other youth organizations.
- d. Police officers or alcohol beverage control agents should accompany the volunteers to each site.
  - The enforcement officers can enter the premises in plain clothes to observe the buy.
  - The officers also are responsible for collecting and maintaining any evidence, including sales receipts, etc.
  - Officers often photograph underage volunteers immediately before and after a check which results in a violation. This insures offenders cannot complain that the volunteer looks over 30 or has disguised their age in any other way.
- e. Underage youth enter retail stores, bars or restaurants and determine if they can buy alcohol without presenting an identification card.
  - The underage volunteers should look their age and should not lie about their age if a vendor inquires.
  - If an ID is requested, they should terminate the attempt to purchase.

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- f. After the sale is made to the underage youth, police or alcohol beverage control officers waiting outside or standing nearby charge the establishment.

## ***Equipment and Resources***

Materials from the Youth Enforcement Workshop developed by the National Highway Traffic Safety Administration (NHTSA) and the International Association of Chiefs of Police (IACP) suggest that law enforcement agencies have access to the following equipment and resources if they plan to conduct a compliance check or CUB program:

- Unmarked car
- Marked police vehicle
- Polaroid camera with film (for photos of the volunteer before and after the checks)
- Criminal summonses
- Evidence containers for alcohol analysis, if necessary
- Evidence containers (such as large paper bags) for collecting bottle/can evidence, as necessary
- Money to purchase alcohol
- Log sheet
- Audio-video equipment for documenting the transaction, if possible. (This provides the best evidence in court and provides further safety for the underage volunteer.)
- Preliminary Breath Test (PBT) instrument for breath testing before and after the operation. (This protects law enforcement from the charge that a minor was allowed to consume alcohol.)

## ■ ***Recommendations for Compliance Checks***

- Law enforcement officers or alcohol beverage control agents should take the lead in conducting compliance checks to insure proper procedures are followed and volunteers are not exposed to risk.
- Conduct compliance checks to test whether underage volunteers can purchase alcohol from room service in local hotels.
  - To complete these tests, the agencies must be adequately funded to allow the volunteers to rent hotel rooms for the period of time necessary to complete the checks.
  - The Hyatt Hotel in Bethesda, Maryland stamped each room service ticket to remind servers to ask for ID. The servers were also required to record the type of identification they checked to verify age.
- Publicize compliance checks to motivate licensees.
  - Establishments which have not been tested may be more vigilant because they fear failing.
  - Licensees who have failed and whose names are made public may be spurred to be more careful because they do not want to face fines or closures and negative public opinion about their business practices.
  - Publicity about the check and other enforcement efforts also reaffirms the seriousness of the underage drinking problem and assures the public that everyone is held equally responsible for their behavior, whether they are adults or youth.

Law enforcement officers or alcohol beverage control agents should take the lead in conducting compliance checks to insure proper procedures are followed and volunteers are not exposed to risk.



Officers issue citations or make arrests for underage purchase, attempts to purchase, and related violations, including citations for adults who purchase alcohol for underage youth.

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Initiating a compliance program requires preparation, but the results can be significant. Project Extra Mile in Omaha, Nebraska (See *Appendix #6, Pilot Projects*) has been conducting compliance checks for the past two years, and has reduced the non-compliance rate of vendors by half from 41% in 1997 to 23% in October of 1999. For the October 1999 check, Project Extra Mile organized a multi-jurisdictional task force of over 50 officers from nine enforcement agencies. To ensure statistical validity, planners for the compliance checks should strive to select a random sample of vendors to be checked.

Contact information on compliance checks is listed in the Resource Section of this booklet.

### *Cops In Shops*

Cops In Shops was originally developed in Delaware, but in recent years, the program has been enhanced, packaged and promoted nationwide by the Century Council, a national, not-for-profit organization dedicated to fighting drunk driving and illegal underage drinking. A Cops In Shops program places undercover law enforcement officers, or alcohol beverage control (ABC) agents or inspectors in off-premise stores that sell alcohol. Officers issue citations or make arrests for underage purchase, attempts to purchase, and related violations, including citations for adults who purchase alcohol for underage youth, according to the current law of the jurisdiction where the program is in operation. Training is provided to both law enforcement officials and retailers.

In this program, law enforcement officers and inspectors not only cite or arrest underage youth who attempt to purchase or purchase alcohol illegally, the program also emphasizes the use of publicity as a continuous deterrent to potential

underage buyers. Store posters, stickers for cold case storage areas, brochures, posters on buses or in subways, buttons, retail communication and television public service announcements remind young people that if they attempt to buy alcohol illegally, they may be dealing with an undercover law enforcement officer or alcohol inspector.

### ■ *Elements of a Cops In Shops Program*

- a. Law enforcement agencies (police departments, alcohol beverage control agencies) agree to participate in the program.
- b. Liquor licensees are informed of the program and urged to participate, usually through a letter or other communication from an ABC or law enforcement agency.
- c. Posters and other retailer information are distributed to liquor licensees throughout the jurisdiction.
- d. Law enforcement agencies select officers or ABC agents/inspectors to participate in the program, usually in teams of two or three at each site.
  - Sites are selected where officers/agents/inspectors will be posted. These sites are usually determined by the law enforcement agencies with the cooperation of the retailer. Usually these sites are locations where there has been a lot of underage activity in the past.
- e. Judges and prosecutors are informed of the program. Prosecutors are requested to assist in tracking the citations and arrests for use in media follow-up.

Prior to the implementation of the program, a news conference is held to announce that "cops" will be deployed in retail outlets throughout the



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city/county. Posters can also be placed in high schools, at colleges, and universities to spread the word. Law enforcement agencies develop a form to track all citations and arrests. News releases are distributed periodically to keep the public and the press abreast of the number of underage youth who were cited and the disposition of those cases. This media component is critical to insure the on-going deterrent value of the program.

Conducting a Cops In Shops program does not mean a community should not do compliance checks. In fact, under the comprehensive approach developed by the NAGHSR Underage Drinking Prevention Program, both strategies should be employed to insure the seller and potential buyer are targeted for enforcement efforts. Contact information on Cops In Shops appears in the Resource Section of this booklet.

### ***“Mr. Will You” or Shoulder-Tap Programs***

Young people commonly approach adults and sometimes homeless people who are gathered outside liquor stores, convenience stores or other alcohol outlets and ask them to purchase alcohol on their behalf. The youth generally offer to give the adult buyer money or some of the alcohol in exchange for making the buy.

“Mr. Will You” or Shoulder Tap Programs are designed to address that problem in the following two ways.

- Law enforcement officials may be most interested in discouraging adults from making purchases for youth.
- They can institute a program, which uses trained young people as decoys (much like a compliance check).
- Instead of asking a store clerk or bartender

to sell alcohol to them, the young people approach individuals outside of alcohol outlets and ask them to make the purchase.

- If the adult buys and hands over alcohol to the youth, a law enforcement officer or alcohol enforcement agent arrests or cites the adult. Often police will find that many of the adult offenders in a shoulder tap program are wanted for other offenses.
- Law enforcement officials are interested in discouraging young people from asking adults to make purchases for them.
- Undercover enforcement officers “hang out” near alcohol outlets and wait to see if they are approached by young people and asked to purchase alcohol.
- Depending upon the laws in the state, youth may be cited or given a warning if they ask an adult to secure alcohol illegally for them.

### ***Alcohol Unit***

As part of the comprehensive underage drinking prevention program called “Drawing the Line on Underage Alcohol Use” in Montgomery County, Maryland, the County’s police department established a special alcohol enforcement unit, with officers from each police district within the county. The Alcohol Enforcement Unit became popularly known as the Whiskey Unit. The Whiskey Unit included eight officers who were specially trained and skilled in managing alcohol-related events and in educating young people and adults about the dangers of illegal underage drinking. These officers also received drug recognition expert (DRE) training which proved extremely useful at many underage parties where officers also discovered marijuana, LSD and other drugs.

The Whiskey Unit included eight officers who were specially trained and skilled in managing alcohol-related events and in educating young people and adults about the dangers of illegal underage drinking.

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## ■ *How An Alcohol Unit Works*

- a. Identify officers to participate in the program.
- b. Train the officers in the following:
  - Managing alcohol-related events (see controlled dispersal)
  - Educating youth and adults about the dangers of underage drinking
  - Standardized Field Sobriety Testing program
  - Drug recognition techniques through an established DEC (Drug Evaluation and Classification) program
- c. Develop a schedule so that half the officers' time is spent on enforcement activities and half is spent on education (speeches at adults events, presentations at local schools, etc.).
  - Officers should generally work Wednesday through Saturday.
  - They serve as a roving patrol on Friday and Saturday nights and respond to calls of youth parties or underage drinking violations.
- d. Insure the alcohol unit works closely with alcohol license inspectors or agents when conducting compliance checks, prom and party security and other special programs.

Information on the Alcohol Unit is listed in the Resource Section of this booklet.

## *Controlled Dispersal*

Many law enforcement agencies, when responding to a report of an underage drinking party, will arrive at the location in a marked car, break up the gathering, strive to insure those who have been drinking do not drive and send everyone home. In many cases, young people see the patrol car coming and run out of the party, jump in their cars and take off before the police get to the house.

## ■ *Elements of a Controlled Dispersal Strategy*

Following is an outline of the controlled dispersal strategy developed by the Montgomery County Police Department Alcohol Unit in Maryland.

- a. The Alcohol Unit or other division receives information, either through a citizen complaint, a party buster hotline call or other means, that an underage drinking party is occurring.
- b. The responding officer(s) looks for signs of underage drinking activity before approaching the house.
  - Signs include a large number of cars, loud music or other noise in and around one house, trash such as alcohol containers in and around the premises.
- c. If the officer(s) determines there is sufficient cause, the supervisor is notified and apprised of the situation.
  - Several officers (normally 6 to 8) are dispatched to a staging area to meet the supervisor.
- d. Officers deploy their cars at exits leaving the neighborhood or area where the party is located. This generally requires three cars and one officer to remain with the vehicles.



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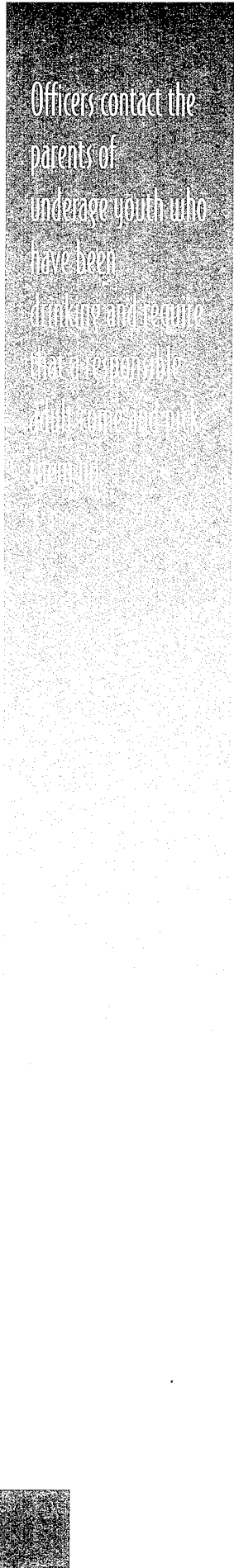
- e. Officers approach and surround the house and ask the host to come outside.
  - If the host does not cooperate, the individual is arrested for a liquor law violation and transported to the nearest police station and the scene is secured until a search warrant can be obtained. Judges should be notified of this program in advance and educated about its purpose to facilitate this process.
  - If the host cooperates, the officers enter the house, close the party and make sure anyone who has been drinking does not drive.
- f. Citations are issued to those minors who have been drinking and those adults who have provided alcohol illegally to a minor.
  - Officers contact the parents of underage youth who have been drinking and require that a responsible adult come and pick them up.
  - Contacting parents was made easier for the Montgomery County Alcohol Unit when a local telephone company donated cell phones for the officers to use.

To make the program more effective, an alcohol citation database was established to enable the Montgomery County Alcohol Unit to track the number and type of alcohol citations that had been issued. This allowed the community to understand patterns of underage drinking and determine whether specific areas of the county were having more problems than others. Problem areas were targeted for additional enforcement and prevention activities.

A similar controlled dispersal approach was instituted by the Salt Lake County Sheriff's Department in Salt Lake City, Utah to respond to reports of large underage drinking parties in the canyons east of the city. To prevent these parties, the Sheriff's Department placed large signs with the words "Sobriety Checkpoint Ahead" or "Drug Dog At Work" at the entrance to the canyons where most of the youth parties occurred. Officers were hidden nearby to witness any youth who attempted to dispose of alcoholic beverages or those that fled the scene. Appropriate citations were then given to those who violated the law. If a party was already in progress, officers would blockade the exit out of the canyon and arrest those underage youth who were drinking. Word of the blockade soon spread to high schools in the area, which substantially decreased the number of canyon parties.

In Phoenix, Arizona, the Youth Task Force used helicopters to discover the location of underage drinking parties, many of which were held in the desert area surrounding the city. Determining the location of these parties was next to impossible using traditional ground level methods, but a helicopter could cover a large geographic area in a relatively short period of time. Once the party had been discovered, officers in the helicopter radioed officers on the ground who apprehended the party goers. The presence of the helicopter also proved to be a shock to the underage youth and underscored just how seriously the police department viewed underage drinking.

Contact information for Controlled Dispersal is listed in the Resource Section of this booklet.



Officers contact the parents of underage youth who have been drinking and require that a responsible adult come and pick them up.

In recent years, the proliferation of computers and high quality printers and scanners has made it even easier for amateurs to produce fake IDs that are difficult to distinguish from

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### ***Fake or Falsified Identification Program***

Fake or altered identification is often used by young people trying to purchase alcohol illegally. In recent years, the proliferation of computers and high quality printers and scanners has made it even easier for amateurs to produce fake IDs that are difficult to distinguish from genuine licenses or other identification.

Some states have changed the design of their driver's licenses to make it more difficult to alter them or reproduce them. Many now place holograms and/or bar codes directly on the licenses and/or require that all underage drivers be photographed facing to the side making it difficult to alter a minor's license. These efforts by the state have made it more difficult — but not impossible — to alter or produce fake IDs.

Every individual who sells or serves alcohol must be vigilant about checking identification. Many restaurants, bars and off-premise stores require that employees check IDs for anyone who appears to be under 30.

The Pennsylvania Liquor Control Board (PLCB) is using technology to help identify underage drinkers who attempt to purchase alcohol illegally. The "Minor ID Checker" is a device that reads the magnetic strip on the back of the state driver's license, producing a printed record of the card's information. The license is swiped through a slot much like a credit card payment device. If the person is under 21, a red warning would be printed or if the license was expired, a warning would be printed. The device also helps identify fraudulent IDs as well since they may not have the proper magnetic strip for reading purposes.

### ■ ***How To Evaluate Identification***

Following are several tips for evaluating a license or other identification card. This information is also in *Appendix 2, How to Evaluate Identification*, so it can be easily referenced and used by vendors and others.

- a. Check the photo
  - Check that the photo and the guest or buyer are the same person.
  - Look at and feel the ID to determine if a new photo has been placed over an old one: feel for overlay bumps; look for poor alignment and cut marks; make sure that an overlapping photo has not been drawn in.
  - Make sure that the state seal or camera number overlapping the edge of the photo are clear and properly placed. Check to make sure that the overlapping seal or camera number has not been drawn in or altered in any other way.
- b. Check the lamination
  - Check for air bubbles, a peeled back corner, and faulty re-sealing.
  - Look for laminate that is poorly centered or too wide.
- c. Check the birth date
  - Look for numbers that have been altered, particularly the last digit of the birth date year.
  - Check for numbers that differ from the distinctive Bates lettering style used for most state driver's licenses.
  - Make sure that all lettering matches and is not typewritten.

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- Look for numbers that are drawn in, pressed-on, uneven in size, or cut out and replaced.
  - Look for erasures and smeared ink.
- d. If a server/seller suspects a license is fake, they should:
- Politely question the guest about the information on the license. Ask questions like "What month were you born?" "What is your zip code?"

If the seller/server continues to doubt the authenticity of the ID, they should ask for a second ID, preferably something with a photograph.

If the server/seller is reasonably sure the license is fake, they should refuse to serve the person or ask them to leave the bar. If the laws in the jurisdiction allow it, the fake ID should be confiscated and turned over to law enforcement authorities. (Of course, the seller/server may also choose to call law enforcement authorities to report the offense while the young person is still on the premises.)

Following are some suggestions federal, state, county and local agencies should use to reduce the likelihood of an underage youth using false identification to purchase alcohol.

- Retailers should require two IDs to purchase alcoholic beverages.
- Server/seller training programs should be offered that are substantially devoted to underage drinking and ways to evaluate IDs.
- Booklets can be purchased that show the official driver's license for all 50 states and the District of Columbia. Information on purchasing these

booklets is available in the Resource Section of this booklet.

- ID task forces should be formed and should concentrate on retail establishments and large public gatherings.

In addition to the use of a fake ID, communities and law enforcement agencies need to target the manufacturing of these IDs. There have been whole cottage industries set up to manufacture and sell fake IDs, particularly around college campuses and enforcement agencies must aggressively target this aspect as well.

Contact information on fake ID programs is listed in the Resource Section of this booklet.

### *Party Buster Hotlines*

Some communities have instituted telephone numbers which adults or youth can call, anonymously, to report stores or restaurants which sell alcohol to youth and parties at which underage drinking is a problem. These hot lines or Party Buster numbers must be well publicized to be effective, but in some cases they can be the key to preventing trouble before it happens.

Pennsylvanians Against Underage Drinking (PAUD) have established a party hotline to encourage adults and youth all over the state to report incidents of underage drinking. Using the headline, "If you can dial a phone, you can help put a stop to underage drinking," the program urges people to take action if they know of any underage drinking occurring or about to occur in their neighborhood. The toll-free number is maintained by the organization and information is referred to the appropriate state and local police departments.

If the server/seller is reasonably sure the license is fake, they should refuse to serve the person or ask them to leave the bar.

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Parents, teachers, neighbors and young people often hear about parties that have been planned or are aware of parties underway, but are afraid or embarrassed to call authorities openly. A hotline offers concerned individuals the opportunity to share information with authorities which not only helps them to enforce the law, but may help them to save a life.

## *Law Enforcement Training Conference*

NHTSA and IACP developed a Youth Enforcement Workshop for law enforcement professionals.

### ■ *Workshop Objectives*

- To increase the recognition of the problems of underage drinking and drinking and driving.
- To examine the difficulties these problems pose for law enforcement.
- To identify effective techniques and strategies that can be applied to the problem.
- To encourage the adoption of an action plan that can be realistically implemented in the near term following the workshop.

*Appendix #3* includes forms which can be used in an *Underage Enforcement Workshop Session*. Materials on organizing and conducting the conference can also be obtained from the IACP, which is listed in the Resource Section of this booklet.

### ■ *Elements of a Law Enforcement Conference*

- a. Invite law enforcement officers who are in a position to influence their department's

policies and procedures regarding underage drinking enforcement.

- It is also a good idea to involve representatives from the state or local alcohol beverage control agency since they regulate liquor licensees.
- b. Have workshop participants examine the issues related to alcohol use by youth, potential obstacles to effective enforcement and possible solutions.
  - The program emphasizes possible partnerships that will strengthen the effort, which is supportive of the coalition or task force concept.
- c. Divide the program into two small group sessions.
  - In the first, participants are encouraged to consider underage drinking and underage drinking and driving, and the obstacles that are created by the time, place and manner in which these activities occur.
  - In the second group session, participants are guided in developing a plan for one additional activity or strategy that can realistically be implemented in their community in the next twelve months and is directed at reducing the problem. Developing and implementing this action plan is the primary goal of the workshop.

In addition to training law enforcement personnel, a conference also provides a good opportunity to promote coordination among the various law enforcement agencies in a community. In most communities, more than one law enforcement agency is active, with some areas of responsibility clearly defined and others which may overlap. A local police or sheriff's



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department, the state police, an alcohol beverage control agency and sometimes, other municipal agencies, park police and campus police may all operate within a few miles of one another, and cooperation among them is essential for a strong campaign.

Frequently, departments do not coordinate general programs, but will cooperate on specific

cases or crimes. Bringing the departments together to work on the issue of underage drinking develops a stronger enforcement approach and helps reduce the need for additional personnel. Once communication and coordination has been expanded among law enforcement agencies, communities can sponsor a follow-on conference with representatives from the juvenile justice and criminal justice systems.

There are several publications, which highlight successful underage drinking and underage drinking and driving strategies. These publications include *"Strategies to Reduce Underage Alcohol Use: Typology and Brief Overview"* by the Pacific Institute for Research and Evaluation in support of the Office of Juvenile Justice and Delinquency Prevention's (OJJDP) Enforcing Underage Drinking Laws Program. This publication provides strategies that limit access to alcohol, support community norms against underage drinking, school-based programs, and programs that prevent impaired driving. In addition to strategies, the publication provides specific research support for strategy selection, the experience of other states and communities that have used the strategies, and detailed instructions for carrying out the selected tactics.

The *"Retail Oriented Best Practices for Underage Drinking Prevention,"* published by NHTSA and the National Alcohol Beverage Control Association (NABCA), lists the practices found to be most effective in preventing underage drinking. The NABCA and a panel of alcohol education experts reviewed 175 programs and selected those featured in the booklet as meeting their criteria of effectiveness.

*"Strategies for Success in Combating Juvenile DUI,"* published by NHTSA, OJJDP and the Police Executive Research Forum is based on information obtained through the Juvenile DUI Enforcement Program, which was created to unify the various elements of anti-DUI enforcement in a single, comprehensive framework. The book contains a blueprint for action with a set of instructions and resources for a local, system-wide response to juvenile impaired driving due to alcohol and other drugs.

Information on obtaining copies of these publications is found in the Resource Section of this booklet.



Bringing the departments together to work on the issue of underage drinking develops a stronger enforcement approach and helps reduce the need for additional personnel.

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## *Roll Call Presentations*

Although the laws governing alcohol sale and use are presented during recruit training for police officers, little emphasis may be placed on underage drinking. Contact your state or local alcohol beverage control agency to determine whether they provide any training to law enforcement agencies and ask if they specifically cover underage drinking. Another way to motivate and inform officers is to request permission to make brief presentations during roll call.

### ■ *How Roll Call Presentations Work*

- In most law enforcement agencies, roll calls are held at the beginning of each shift at a department's division or district level and street level officers on duty at that time attend.
- Time constraints during roll call are very tight because roll call is the period during which officers receive updated information on new crimes, regulations or other departmental business.
- If a presentation is guaranteed to be brief, however, a coalition may be allowed to attend a roll call and talk about underage drinking.
- If laws or regulations regarding underage drinking, the sale of alcohol, fake identification or related issues have changed recently, those changes provide an opportunity to schedule these presentations.

- Police officers generally respond best to information presented by their peers, so it is advisable to arrange for an officer to join the coalition members or staff when they make the presentation.
- During the roll call, distribute a short questionnaire to find out how much officers on the street know about underage drinking laws and regulations. The questionnaire should include no more than five questions, followed by a request for officers to rate the seriousness of various underage offenses such as drinking and driving, possessing or consuming alcohol or using a fake ID. *Appendix #4* is a *Sample Roll Call Questionnaire*.
- Control groups can be established within the department so that some districts receive the training and some do not, which will allow the coalition to review data in the following months and determine whether underage enforcement increased in the areas that received training. A follow-up questionnaire can also be distributed a year later to determine whether the level of knowledge or interest has changed.



### OVERCOMING COMMON OBSTACLES TO ENFORCING AND ADJUDICATING UNDERAGE DRINKING LAWS

***PROBLEM: Lack of  
consequences once a youth is  
arrested for an underage  
drinking offense.***

In many communities, law enforcement officers believe it is difficult to enforce laws against underage drinking because “nothing really happens” to offenders. Insuring that the consequences for violating the law are appropriate, swift and consistently applied can help to motivate police officers to be more aggressive in enforcing the laws.

Diversion programs which direct youthful violators to treatment or community service sometimes appear to be “soft” ways of dealing with young offenders, but in some cases they have demonstrated merit. Linking police officers with juvenile justice and treatment authorities can help all of these professionals see the value in their colleagues’ work and stimulate valuable networking and mutual reinforcement among them.

Juvenile justice systems and courts sometimes cite their increasing caseloads and a burgeoning number of “serious” crimes committed by juveniles, including robbery, rape, assault, and murder as reasons not to devote any of their time to underage drinking. Advocates for underage drinking prevention should remind law enforcement, juvenile justice authorities and judges that these problems are linked to underage alcohol use.

### ***SOLUTIONS***

- 1. Involve not only law enforcement agencies, but the court system—from intake workers, to judges, and prosecutors—in the coalition.***

Strict enforcement must be associated with real consequences. This requires coordination among the courts, prosecutors, and juvenile authorities. Judges know that they occupy a unique position in the community and sometimes perceive community activism in association with any particular group as a conflict with their need to maintain strict neutrality. “*Beyond the Bench*,” a video prepared by NHTSA and OJJDP urges judges to get out from behind the bench and speak to the community about the problem of teen drinking and driving.

- 2. Request that judges go on ride alongs with police officers conducting an underage enforcement effort.***

Witnessing the problem of underage drinking up close at the time of an arrest or citation can have a beneficial impact on individuals deciding the consequences of underage drinking offenses. Police officers can also describe some of the circumstances they have witnessed when citing youth for underage drinking.

- 3. Sponsor a joint meeting among key representatives from police departments, alcohol beverage control agencies, juvenile service agencies and the courts.***

This kind of cross education is particularly important among individual officers and intake workers. If possible, obtain the support of both the Chief Judge of the Juvenile Court and the Police Chief so that their employees understand the importance these officials place on reducing

Strict enforcement must be associated with real consequences. This requires coordination among the courts, prosecutors, and juvenile authorities.

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"Beyond the Bench" offers suggestions for judges regarding forming a coalition, educating the public about the problem or joining an existing effort. Two judges who are active in their communities, Judge J. Dean Lewis from the Juvenile and Domestic Relations Court in Spotsylvania County, Virginia and Judge G. Michael Witte from the Dearborn County Court in Lawrenceburg, Indiana both appear in the video and encourage their peers to become active in local efforts.

Judge Michael A. Martone of the 52nd District Court in Troy, Michigan has brought the court room right into the class room. The "*Courageous Decisions*" Program consists of a typical district court docket of drunk driving cases, possession of controlled substance cases, shoplifting cases or any other type of crime that students might be tempted to commit. In a school assembly program, students have the opportunity to witness a real judge, with real defendants entering pleas and having sentences imposed ranging from probation to jail. The program also consists of a video with Judge Martone engaging the students in an interactive dialogue on the vignettes and still photos that illustrate the catastrophic results of flawed critical thinking.

Judge Martone will assist any judge interested in setting up the program in their jurisdictions. Another judge in Michigan wrote to him about the success of the program. "As you know, I have implemented a Courts in the Schools program. In May, I made a presentation to the 12th grade class of one of our local high schools. The final segment of the program includes a video reenactment of an alcohol poisoning death, and a discussion around that topic. The week after the prom, I got a call from the school. Several students had been together on the night of the prom, and a young lady had consumed a large quantity of alcohol and become unconscious. When she passed out, her friends recalled the information they had received in the Court in the Schools Program. Instead of rolling her into bed, her friends took her to the hospital where she was treated and survived. On behalf of my community, I want to thank you for encouraging me to implement the Court in the Schools program." For his efforts, Judge Martone was honored by the National Commission Against Drunk Driving with their 1999 Adjudication Award. Information on contacting Judge Martone can be found on in the Resource Section of this booklet.

The Safe and Sober Youth Coalition (SASY) in Chesterfield County, Virginia has been successful in involving judges in their coalition. Virginia has a judicial licensing ceremony which young people must attend with a parent or guardian in order to obtain their driver's license. During the ceremony, the judge talks to the audience about the responsibility of driving and what can happen when young people break the law. SASY saw this as an opportunity to increase public awareness about underage drinking and formed a partnership with the court system to set up booths and hand out information before, during and after the ceremony. The program has resulted in not only the community getting more information, but it has made the judges more aware of the underage drinking problem.



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underage drinking. At the meeting, have a neutral party facilitate the discussion and ask each representative to describe what is positive about the current relationship and what could be improved.

#### ***4. Develop community service or other options that can be available to the courts as alternatives.***

In some communities, the lack of appropriate community service options for youthful offenders makes it difficult for judges to sentence young people with meaningful work. In Austin, TX, the Travis County Underage Drinking Prevention Program (*see Appendix #6, Pilot Projects*) works closely with community groups, government agencies and local justices of the peace to link the courts with a community service program that offers adequate supervision and a genuine learning experience. The program has developed a strong relationship between the underage drinking prevention program and the local judicial authorities and has provided a new option for the courts to exercise that includes referral to Habitat for Humanity.

#### ***5. Develop a teen court program.***

Teen courts are cooperative programs among schools, law enforcement and the courts. In teen courts, students act as prosecutors, defense attorneys and jurors for peers who have been charged with alcohol-related offenses. Cases heard in teen court usually involve underage purchase and/or consumption, the use of fake IDs and, sometimes, less serious driving under the influence (DUI) offenses. Other, more "serious" cases are heard in regular court.

Teen courts offer several advantages because they:

- Provide a means for holding youthful offenders accountable through a sentence imposed by their peers.
- Offer youth volunteers education about and "hands on" experience in the legal and judicial system.
- Create an interest in solving the problems associated with underage drinking that can lead to a change in youthful behavior and attitude.
- Reduce the number of cases within the juvenile justice system.

Generally, law enforcement officials coordinate the overall teen court program in a community. The court system coordinates the logistics of the program, including time, location and dates for trials. A juvenile court judge also may participate.

Schools may develop lists of teachers, students and school officials who will participate, and help assign the roles for student attorneys and jurors. The local District Attorney's office provides guidance in legal procedures, opinions and interpretations of specific laws.

The American Probation and Parole Association (APPA), NHTSA, and OJJDP have developed an implementation guide for teen court programs as well as sponsoring regional training seminars around the country. The guide includes:

- An overview of the teen court concept
- How to organize the community
- Legal issues
- Developing a program purpose, goals and objectives

Teen courts are  
cooperative  
programs among  
schools, law  
enforcement and  
the courts.

Some communities do not have juvenile detention facilities located nearby, making the holdover program especially valuable.

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- Determining a target population and designing a referral process
- Designing program services and sentencing options
- Developing a program model, procedures, and effective case management practices
- Recruiting, using and training volunteers
- Examining human and financial resources
- Program evaluation.

Information on ordering the guide and teen court programs is located in the Resource Section of this booklet.

***PROBLEM: The amount of time it takes to process a young person once they have been arrested for an alcohol offense.***

Juvenile offenders are treated differently than the adult population by the courts, starting at the time of the arrest. In many jurisdictions, for instance, juveniles cannot be held in the same facility as adults, and many local jurisdictions either lack a separate holding facility or find their facility is already filled to capacity. Consequently, officers may sometimes be compelled to "baby sit" the juvenile until a parent or guardian comes to take the young person home. The time spent with the juvenile, of course, means that the officer is either away from other duties or may have to use overtime to perform a task which could be performed by someone else.

### ***SOLUTION***

#### ***1. Develop a juvenile "holdover" program.***

These programs temporarily hold juveniles who have been detained by law enforcement officers

and are in need of supervision until a legal guardian assumes responsibility for them or they can be moved to a juvenile detention facility. (Some communities do not have juvenile detention facilities located nearby, making the holdover program especially valuable.) The American Probation and Parole Association, with a grant from NHTSA and OJJDP, is developing an implementation guide for juvenile holdover programs, which will include lessons garnered both from research and from practical experience. The guide will also address the mission and procedural practices to insure individual communities can readily develop programs that meet their needs. Information on ordering the implementation guide is listed in the Resource Section of this booklet.

In some communities local religious institutions have organized volunteers to supervise youth who are brought to their facilities on weekend evenings. The volunteers have been authorized to care for the youth by local authorities and the officers are able to return to their other duties quickly.

***PROBLEM: Developing support within a department to conduct an underage drinking enforcement program.***

Law enforcement agencies and their officers are sworn to uphold the law. Sometimes, like other agencies or organizations, limited budgets and resources force law enforcement agencies to establish priorities. Those priorities may be embodied in a policy statement or they may be informally "understood" among members of the agency. Underage drinking is frequently viewed as a low priority for enforcement, in part, perhaps, because American society has often viewed it as a rite of passage and an adolescent



## Community How To Guide On...ENFORCEMENT

problem, but has not taken it seriously enough. One of the first tasks for an underage drinking prevention coalition, therefore, may be to encourage local enforcement officials to change the official or unofficial viewpoint about underage drinking and to make enforcing the laws about underage drinking a priority for the department and for individual officers.

### SOLUTIONS

#### ***1. Gain the support of top-level officials within the department or agency.***

It is essential that the law enforcement administrator (chief of police, sheriff or other high ranking official) be briefed by letter or a meeting on what a community group is proposing. The briefing should include information on what the group has learned, through the needs assessment process (See *Community How To Guide on Needs Assessment and Strategic Planning*), about the current level of underage enforcement. If possible, involve prominent individuals from the community such as a large employer, the head of a government agency, an elected official or a media representative in the meeting with the chief. People in top level positions listen and respond to other people in top level positions.

When meeting with the chief or sheriff, the coalition may wish to share examples of successful enforcement programs, which have been implemented in other communities. This booklet contains examples of many such programs (see *pages 14 to 24*).

#### ***2. Show law enforcement officials that underage drinking enforcement is in their best interest, and enforcing underage drinking laws will help them address other priorities.***

The best way to overcome any barrier with members of a group is to show them how the program can directly benefit the work they are already accomplishing. Both statistical and anecdotal information reveals that underage drinking is linked to other crimes among youth such as rape, robbery and violence. Drinking is also linked to many crimes among adults, including spousal abuse, child abuse, rape, robbery and other acts. As part of the needs assessment process, a coalition may have been successful in collecting data showing the link between alcohol and other crime (See *Community How To Guide on Needs Assessment and Strategic Planning*).

During one of the Rapid Response Team visits NAGHSR conducted during Phase II of their comprehensive underage drinking prevention program, the law enforcement representative on the team met with a local chief. The chief said he did not believe underage drinking was a major issue in his community, at which point, the Rapid Response team member asked the chief if he could conduct a quick review of recent calls for service received by the agency. They discovered a large number of calls were related to alcohol and to underage drinking. With that information in hand, the chief revised his opinion and agreed to participate in a local coalition.



Both statistical  
and anecdotal  
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to other crimes

If the community does not feel underage drinking is a priority, it makes the task of conducting an aggressive enforcement program more difficult.

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By helping to prevent underage drinking today, law enforcement officers also may reduce future crimes. Research conducted by the National Institute on Alcoholism and Alcohol Abuse (NIAAA) in 1998 found that a young person who starts drinking at age 14 is four times more likely to develop alcohol-related problems later on than a person who starts drinking at a later age.

### **3. Build public support for the department to take action.**

Police officers are public servants and need public support for their initiatives. If the community does not feel underage drinking is a priority, it makes the task of conducting an aggressive underage age enforcement program more difficult. Among the ways to gain public support for a prevention and enforcement program are:

- Encourage parents, local business officials, teachers, elected officials and others to call upon the police to enhance their enforcement.
- Put underage drinking "out front" as an issue in the community by publicizing the problem. Pitch stories about the problem to the local press. Conduct presentations at meetings frequented by local activists, including the Chamber of Commerce, Parent Teacher Association (PTA), Kiwanis, Rotary and Lion Clubs, Junior League, National Association for the Advancement of Colored People (NAACP), Jack and Jill, Coalition of 100 Black Women, etc. (See *Community How To Guide on Media Relations*).

- Contact local employers and enlist their support. Remind employers of the relationship between drinking and absenteeism, vandalism and other problems. Prepare sample articles for their employee publications that detail the seriousness of the problem in the community and propose practical solutions, including support for enforcement.

### **4. Involve law enforcement officers and alcohol beverage control agents or inspectors early in the needs assessment process and inform them their participation is a key to success.**

A successful underage drinking prevention project requires the presence and active involvement of law enforcement. Let them know how important they are to the project and how much you welcome their input and suggestions. After all, enforcement personnel are the ones who deal with the serious consequences of underage drinking and can give expert opinions on how to stop it. They can also begin to build support for the program within their own agencies (See *Community How To Guide on Needs Assessment and Strategic Planning*).

### KEEPING UNDERAGE DRINKING ENFORCEMENT A PRIORITY

Once you have a department's attention, how do you keep it? One of the best ways to involve law enforcement agencies in an underage drinking prevention program is to demonstrate how the law enforcement agencies will be supported through the coalition. Law enforcement officials and their "troops" will be more motivated to undertake additional or expanded activities if they understand that the coalition is not only asking for their support, it is supporting them. In some cases, the coalition may be able to mobilize public opinion or obtain funding, equipment or other support for the law enforcement agencies that they would not have been able to secure on their own. Following are the ways coalitions can support law enforcement.

■ ***Generate positive media attention for law enforcement efforts.***

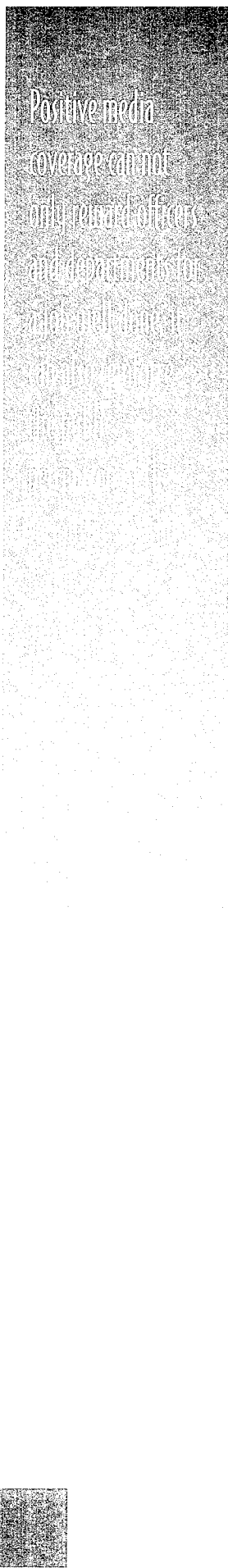
Positive media coverage can not only reward officers and departments for a job well done, it can also reinforce the public perception of the seriousness of the issue. Sometimes, individual officers or department officials are wary of talking with the press because they feel that police are sometimes covered unfairly by the media. The coalition's media director or project director should work closely with the police and the media to create a positive story, which showcases the department's efforts as much as possible.

In some communities, reporters have accompanied officers on successful "party buster" initiatives and have produced extremely positive

coverage, which may make the law enforcement agency more likely to cooperate on a story in the future. Law enforcement activities such as sobriety checkpoints, compliance checks of liquor licensees or Cops In Shops programs are visually interesting for television and print photographers and can be exciting stories.

It is always important, however, to make certain that reporters understand the goals of each of these programs. If a sobriety checkpoint particularly directed at youth is held, for instance, and produces only a few arrests, the media must understand that generating arrests is not the goal of the checkpoint. The real goal is to reinforce the seriousness of drinking and driving, demonstrate that law enforcement is actively enforcing the law, discourage adults from drinking and driving and discourage youth from drinking at all.

Law enforcement officers and judges can add an important perspective to radio and television interview shows, public service programs and news conferences. Their presence, especially if they are in uniform, adds credibility to the coalition's efforts and fosters good police relations with the press and the community. It also offers officers the opportunity to be recognized as proactive. The coalition may also work with law enforcement officers or the administrator (chief of police) to submit op editorials or letters to the editor. Whenever working with individual police officers, the coalition must be sensitive to the police department's policies regarding contacts with the press. Learn how to work effectively with your local police agency on media relations. (See *Community How To Guide on Media Relations*)



Positive media coverage cannot only reward officers and departments for a job well done, it can also reinforce the public perception of the seriousness of the issue.

All kinds of people — including law enforcement officers — are encouraged when they are recognized for their good work.

## Community How To Guide On...ENFORCEMENT

### ■ ***Help secure funding and equipment.***

In some cases, it is difficult for law enforcement agencies to obtain adequate money and equipment to perform expanded underage drinking enforcement activities. Coalition members can help to advocate increased funding and can sometimes obtain donated equipment or funds to support the effort more rapidly than a police or sheriff's department (See *Community How To Guide on Self-Sufficiency*).

In Montgomery County, Maryland, police officers from an alcohol enforcement unit told members of the Drawing the Line coalition that it was difficult for them to call the parents of all the young people they found at large parties because they did not have access to adequate phones. One of the coalition members had a friend who was the public relations representative for Bell Atlantic Mobile. As a result, Bell Atlantic Mobile donated six cell phones and reduced the price of air time for the alcohol enforcement unit.

### ■ ***Advocate swift, consistent consequences for offenders through the courts and juvenile justice system.***

Coalitions can collect data on the disposition of citations and arrests, sponsor court watch programs, write to officials with the authority to make changes in the systems and publicize the need for consistent consequences. If penalties are imposed, officers will feel more motivated to play their important role in prevention through strict enforcement.

### ■ ***Work for changes in state and local laws, regulations, policies and procedures.***

Underage drinking prevention coalitions should review the laws, policies and procedures that

affect underage drinking law enforcement and prevention in their community. *Appendix #5* is a *Legislative Status Report on Drinking and Driving and Underage Drinking Laws and Regulations* in all 50 states and the District of Columbia. If the coalition identifies gaps or problems in these laws, policies or procedures, the coalition can advocate change by publicizing the problem, educating elected officials and administrators about the reasons for change and helping to draft new regulations (See *Community How To Guide on Public Policy*).

### ■ ***Recognize law enforcement officers for their work on underage drinking.***

All kinds of people — including law enforcement officers — are encouraged when they are recognized for their good work. Law enforcement officers and their supervisors may be particularly appreciative of such recognition because officers so often deal with people who resent or criticize their enforcement efforts.

A pat on the back to law enforcement officers can take many forms. A plaque or other award can be presented to the officer (or officers) who has done the most to prevent underage drinking throughout the year, through enforcement, educational programs or a combination of efforts. The recognition ceremony does not need to be fancy or expensive; it can take place at a regular meeting of the coalition, a special event regarding underage drinking, an awards luncheon, a county or city council meeting or some other event.

Securing news coverage for the award program provides multiple benefits — it rewards the officers involved, reaffirms the importance of the issue and reinforces the message that enforcement is a critical element in underage drinking prevention. At a minimum, the event should be



publicized in the coalition's newsletter and, if appropriate, the law enforcement administrator (police chief) and senior staff should be notified if the award went to an officer who is not at the command level. In some cases, it may be beneficial to ask a local elected official or the law enforcement administrator to join in presenting the award, which may also enhance the newsworthiness of the event and its prestige.

Coalitions or agencies that honor officers engaged in adult drinking and driving enforcement or drug abuse prevention should remember to honor officers dedicated to underage drinking prevention. These officers are sometimes the unsung heroes of important campaigns.

- ***Insure prevention programs are research based and will reinforce the no-use message.***

Law enforcement officers are expected to be experts in law enforcement, but they may not be experts in science-based prevention programs. Some law enforcement agencies sponsor educational programs for students or parents and they may not have time to research those programs thoroughly to ascertain if they are truly effective. Unfortunately, many of the most popular programs cannot demonstrate effectiveness. Prevention specialists within the coalition can help insure any educational or other prevention program undertaken by law enforcement is grounded in good prevention principles. This enables everyone to get the most "bang for their buck."

- ***Help target resources by collecting and analyzing data from a variety of sources.***

With their diverse memberships, coalitions are well-equipped to collect data from a variety of sources, analyze the data and to craft a strategic plan based on the data. This kind of careful needs assessment and strategic plan can help law enforcement agencies direct their resources and programs effectively and track the results of their programs (See *Community How To Guide on Needs Assessment and Strategic Planning*). The coalition can also help the law enforcement agency develop an efficient system for tracking underage drinking-related data within their department, through a computer program or other reporting system.

- ***Help reduce recidivism by insuring that adequate resources for assessment and treatment are available.***

Law enforcement officers do not want to keep arresting or citing the same youth over and over, but they may not be able to ensure that assessment and referrals to treatment are readily available in the community, whether or not young people have health insurance. A broad-based coalition can work with public and private agencies to ensure that assessment and treatment are part of the community system for dealing with underage drinking offenses.

The key to the ultimate success of an underage drinking project is the participation and support of law enforcement.

## Community How To Guide On...ENFORCEMENT

### CONCLUSION

The key to the ultimate success of an underage drinking project is the participation and support of law enforcement. An aggressive law enforcement program, supported by strong laws and regulations, swift and sure consequences from the judiciary, and a strong prevention/education effort will result in changed community norms and changed behavior by youth. The blueprint for this model comes from one of the most successful efforts to change society's views and actions – the effort to reduce drinking and driving.

In that example the critical element was law enforcement, and it is every bit as critical an element for underage drinking.

This booklet, while highlighting many successful enforcement strategies, is also designed to show that enforcement cannot do the job alone. Effective enforcement never operates in a vacuum. Enforcement needs help and support from the community, which can be generated by an active underage drinking prevention coalition. By working closely with juvenile justice authorities, judges, treatment professionals, prevention specialists, educators and other concerned members of the community, law enforcement officers, and the entire juvenile justice system can create an environment in which young people can thrive and have fun without engaging in dangerous, and sometimes deadly, underage drinking.

## COMPARISON OF JUVENILE AND CRIMINAL JUSTICE SYSTEMS

JUVENILE JUSTICE SYSTEM	COMMON GROUND	CRIMINAL JUSTICE SYSTEM
<b>Operating Assumptions</b> Youth behavior is malleable. Youth are in families, not independent. Rehabilitation is usually a viable goal.	Primary goal of community protection. Law violator accountability.	General deterrence works. Emphasis on sanctions proportional to offense.
<b>Public Access to Information</b> Limitations on public access to information.		Open public access to all information.
<b>Diversion from the System</b> Youth are informally diverted from the system by intake or probation and into juvenile court system.	Many people are diverted from the system formally and informally by the prosecutor.	
<b>Intake-Prosecution</b> Decision to file a petition for court action may or may not be made by the prosecutor. Decision to file petition based on both social and legal factors.	Probable cause must be established. Prosecutor acts on behalf of the state. Plea negotiation is common. Prosecution discretion exists in charging and plea agreements. Previous history of violation is valuable and is used in charging, disposition, or sentencing.	Prosecution decision based primarily on legal facts.
<b>Adjudication-Conviction</b> Usually trial is by judge, not jury. If guilt is established, the youth is adjudicated delinquent or a status offender.	Constitutional rights apply. Standard of “proof beyond a reasonable doubt”. Guilt must be established on individual offenses charged for conviction or disposition. Presumption of innocence applies. Defense attorney is appointed if accused is unable to retain private counsel.	Right to jury trial. Unanimous verdict usually necessary to establish guilt.

## *Comparison of Juvenile and Criminal Justice Systems continued*

JUVENILE JUSTICE SYSTEM	COMMON GROUND	CRIMINAL JUSTICE SYSTEM
<b>Disposition-Sentencing</b> Dispositional philosophy includes significant rehabilitation component. Dispositional alternatives cover wide range of community-based and residential services. Disposition may be indeterminate. Periodic court review of offender. Juvenile court jurisdiction ends at specific age (ranges from 17-24). Disposition orders may be directed at parents.	Decision influenced by current offense, offending history, and mitigating or aggravating factors. Offender accountability is the goal. Victim's views available to court. Restitution may be afforded to victim.	Sentencing philosophy based largely on proportionality, punishment, and offender's criminal history. Sentence often is determinate. Fines often imposed on offender.
<b>Aftercare-Parole</b> Combines surveillance and reintegration activities.	System of monitoring behavior on release. Violation of conditions can be incarceration or modified conditions of probation.	Primarily a surveillance and reporting function to monitor illicit behavior
<p>*Juveniles/minors are youth who have not yet reached the age at which he or she is treated as an adult. In most states, this age is 18, although in ten states it is 17, and in three states it is 16.</p> <p>**Young adults are persons who are under age 21 but not considered a juvenile in his or her state (e.g., an 18- to 20-year-old). See exceptions above.</p>		

Excerpted from "Sentencing and Dispositions of Youth DUI and Other Alcohol Offenses: A Guide for Judges and Prosecutors," NHTSA, September 1999, DOT HS 808 891.

## HOW TO EVALUATE IDENTIFICATION

Following are several tips for evaluating a license or other identification card.

1. Check the photo

- Check that the photo and the guest or buyer are the same person.
- Look at and feel the ID to determine if a new photo has been placed over an old one: feel for overlay bumps; look for poor alignment and cut marks; make sure that an overlapping photo has not been drawn in.
- Make sure that the state seal or camera number overlapping the edge of the photo are clear and properly placed. Check to make sure that the overlapping seal or camera number has not been drawn in or altered in any other way.

2. Check the lamination

- Check for air bubbles, a peeled back corner, and faulty re-sealing.
- Look for laminate that is poorly centered or too wide.

3. Check the birth date

- Look for numbers that have been altered, particularly the last digit of the birth date year.
- Check for numbers that differ from the distinctive Bates lettering style used for most state driver's licenses.
- Make sure that all lettering matches and is not typewritten.
- Look for numbers that are drawn in, pressed-on, uneven in size, or cut out and replaced.
- Look for erasures and smeared ink.

4. If a server/seller suspects a license is fake, they should:

- Politely question the guest about the information on the license. Ask questions like “What month were you born?” “What is your zip code?”
- If the seller/server continues to doubt the authenticity of the ID, they should ask for a second ID, preferably something with a photograph.
- If the server/seller is reasonably sure the license is fake, they should refuse to serve the person or ask them to leave the bar. If the laws in the jurisdiction allow it, the fake ID should be confiscated and turned over to law enforcement authorities. (Of course, the seller/server may also choose to call law enforcement authorities to report the offense while the young person is still on the premises.)



## UNDERAGE DRINKING ENFORCEMENT WORK SESSION

*The following outline can be used to assist law enforcement in developing a preliminary underage drinking enforcement plan. The outline asks officers and alcohol beverage control officials to determine the underage drinking problems in their community and what they feel is the best response.*

### Planning Session

1. Make a list of the kinds of places where underage drinking takes place in your community. Consider routine locations, special events and large gatherings.

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2. For each kind of place, decide when they are commonly used (time of day, day of week, season of the year).

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3. For each kind of place, decide how the community feels or reacts.

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4. Identify the obstacles these places present to underage drinking enforcement.

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5. From your point of view, decide which is the worst place and why.

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## APPENDIX 3 – Community How To Guide On...ENFORCEMENT

### *Underage Drinking Enforcement Work Session continued*

#### **Action Plan**

*Following is an outline for an enforcement plan. Individual officers should first write down their own ideas and then meet with officers and officials from other agencies, including alcohol beverage control, to develop an initial coordinated underage drinking enforcement plan. This plan should also be shared with the underage drinking prevention coalition as part of their needs assessment process.*

1. Make a list of the youth and alcohol enforcement problems in your community.
  - \* Put a "1" next to the problem that you believe is the most serious, a "2" next to the second most serious, and so on.
  - \* Put a checkmark next to those problems you think your department/agency could do more to solve.

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2. Select one problem for which you think progress could realistically be made in the next 12 months. Write a short description of the problem.

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3. Write down your objectives for addressing the problem you selected. Remember that objectives should be specific, achievable and measurable.

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4. Determine the major steps for implementing the plan.

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5. For the first major step of the plan, write down the first two or three specific tasks that be accomplished.

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6. For the first task, write down how long it will take to accomplish.

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## ROLL CALL PRESENTATION—UNDERAGE DRINKING QUESTIONNAIRE

Jurisdiction \_\_\_\_\_

"For each of the following, indicate whether your jurisdiction has a law" "or regulation, and if so, what are the consequences for violations."	YES	NO	DON'T KNOW			"If you responded ""Yes,"" please check the types " of sanctions that are applied for violations."				
						Jail	Fine	Probation	License Suspension	Other
Sale of alcohol by liquor licensees to youth under age 21.					if Yes...					
Providing or purchasing alcohol by adults for youth under age 21.					if Yes...					
Purchase of alcohol by youth under age 21.					if Yes...					
Possession of alcohol by youth under age 21.					if Yes...					
Consumption of alcohol by youth under age 21.					if Yes...					
Use of false identification to purchase alcohol by youth under age 21.					if Yes...					

Which of the following regulations exist in your jurisdiction?	YES	NO	DON'T KNOW
Requirement that liquor licensees receive appropriate training.			
Prohibition of alcohol advertising that appeals to youth.			
Keg registration requirement.			
Alcohol sales near schools and universities is limited.			
Parent responsibility for minors' behavior with alcohol.			

In your opinion: How serious a problem is underage drinking in your jurisdiction? How adequate are your jurisdiction's resources for underage drinking enforcement?	Not At					Very Much
	All	1	2	3	4	
	1	2	3	4	5	
	1	2	3	4	5	
For each of the following alcohol violations, how much is it worth your time to arrest underage youth for:						
Use of a fake ID	1	2	3	4	5	
Purchase of alcohol	1	2	3	4	5	
Drinking and driving	1	2	3	4	5	
Possession of alcohol	1	2	3	4	5	



**LEGISLATIVE STATUS DRINKING AND DRIVING  
AND UNDERAGE DRINKING LAWS AND REGULATIONS  
AS OF JANUARY 1, 2000**

**Community How To Guide On... ENFORCEMENT  
APPENDIX 5**

ST	AGE ZERO TOLERANCE	AGE SALE / PURCH.	AGE ALC. POSS.	EXEMPTIONS ALC. CONSUMP.	DRAM POSSESSION/ CONSUMPTION	CRIMINAL SHOP SOCIAL	ADMIN. SANC. SALE	ANTI- SANC. SALE	HAPPY HOUR	GRAD. LICENSE	OPEN CONTAIN	ANTI- CONSUMP
AL	Yes	21	21	21	employment	Y-Ltd. 7	Misdemeanor 1	Yes 2	Yes	No	No	No
AK	Yes	21	21	21	parents/medical	No	Class A Misd.	Yes	Yes	No	Yes-D	Yes-D
AZ	Yes	21	21	21	religious	Y-Ltd. 7	Class 1 Misd.	Yes	Yes	No	No	Y-D & P
AR	Yes	21	21	None	home/employ/rel	No	Misdemeanor	Yes	No	No	No	Yes
CA	Yes	21	21	21 4	parent	No	Misdemeanor	Yes	No	Yes	Yes	Yes-D & P
CO	Yes	21	21	21	parent/religious	Y-Ltd. 7	Class 2 Misd.	Yes	No	Yes	No	Yes-D & P
CT	Yes	21	21 5	None	employ/par/med	Yes	Misdemeanor	Yes	No	No	No	No
DE	Yes	21 3	21	21	home/religious	No	Misdemeanor	Yes	No	Yes	No	Yes-D11
DC	Yes	21	21	21		No	Unspecified	Yes	No	No	Yes	Yes-D & P
FL	Yes	21 3	21	None 4	employment	No	Misdemeanor	Yes 2	No	Yes	Yes	No
GA	Yes	21	21	None	par/med/religious	Y-Limited	Misdemeanor	Yes	No	Yes	Yes-D	No
HI	Yes	21	21	None 6	employ/med/rel	No	Misdemeanor	Yes	Yes	No	Yes 12	Y-D & P12
ID	Yes	21	21	21	employment-19	Yes	Misdemeanor	Yes	No	No	Yes	Yes
IL	Yes	21	21	21	employ/par/rel	No	Class A Misd.	Yes	Yes	Yes	Yes	No
IN	Yes	21 3	21	21		Yes	Class C Misd.	Yes	Yes	Yes	Yes 13	Yes-D
IA	Yes	21	21	None	employ/par/med	Y-Ltd. 7	Misdemeanor	Yes	No	Yes	Yes-D & P	Yes-D & P
KS	Yes	21	21	21	par/employ	No	Class B Misd.	Yes	Yes	No	Yes	Y-D & P 14
KY	Yes	21	21	None	employment	No	Class B Misd.	Yes	No	No	No	Yes-D & P
LA	Yes	21	21	21	par/med/religious	Y-Ltd. 7	Misdemeanor	Yes 2	Yes	Yes	No	No
ME	Yes	21	21	21	employ/parents	Y-Ltd. 7	Class E Crime	Yes	Yes	No	Yes D & P	Yes-D & P
MD	Yes	21 3	21	None	rel/par/employ-18	No	Misdemeanor	Yes	No	Yes	Yes 15	Yes-D
MA	Yes	21	21	None	trans/employ-18	Yes	Misdemeanor	Yes	Yes	Yes	No	Yes-D
MI	Yes	21	21	21 6	employ/religious	Y-Ltd. 7	Misdemeanor 8	Yes	Yes	Yes	Yes	Yes-D & P
MN	Yes	21 9	21	21	home/parent	Y-Ltd. 7	Gross Misd.	Yes	No	No	Yes	Yes-D & P
MS	Yes	21	21	None	parent/employ	Y-Ltd. 7	Misdemeanor	Yes	No	No	No	No
MO	Yes	21	21	None		No	Misdemeanor	Yes	No	Yes	No	Yes-D
MT	Yes	21 3	21	21	employment	Y-Ltd. 7	Misdemeanor	Yes	No	No	Yes 16	Y-D & P 16
NE	Yes	21	21	None	home/employ-19	No	Class I Misd.	Yes 10	Yes	No	Yes-D & P	Yes-D & P
NV	Yes	21	21 5	21 4		No	Misdemeanor	Yes	No	No	Yes	Yes-D
NH	Yes	21 3	21	None	employment	Yes	Class A Misd.	Yes	No	Yes	Yes	No
NJ	Yes	21	21 5	21 5	employment	Yes	Disorderly Off.	Yes	Yes	Yes	No	Y-D & P 12
NM	Yes	21	21	None 4	parent	Y-Ltd. 7	Misdemeanor	Yes	No	Yes	Yes	Yes-D & P
NY	Yes	21 3	21 6	21 6	parent	Y-Ltd. 7	Class A Misd.	Yes	Yes	No	Yes-D & P	Yes-D & P
NC	Yes	21	21	21	employment	Yes	Class 1 Misd.	Yes	Yes	Yes	Yes	Yes-D

**LEGISLATIVE STATUS DRINKING AND DRIVING  
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AS OF JANUARY 1, 2000**

ST	AGE ZERO TOLERANCE	AGE SALE / PURCH.	AGE ALC. POSS.	EXEMPTIONS ALC. CONSUMP.	DRAM POSSESSION/ CONSUMPTION	CRIMINAL SHOP SOCIAL	ADMIN. SANC. SALE	ANTI- SANC. SALE	HAPPY HOUR	GRAD. LICENSE	OPEN CONTAIN	ANTI- CONSUMP
ND	Yes	21	21	21	parent/employ-19	Yes	Class A Misd.	Yes	No	No	Yes	Yes-D & P
OH	Yes	21	21	21	parent/spouse	Y-Ltd. 7	Misdemeanor	Yes	Yes	Yes	Yes	Yes-D & P
OK	Yes	21	21	21		No	Felony 17	Yes	Yes	No	Yes	Yes-D & P
OR	Yes	21	21	21	parent/religious	Yes	Class A Misd.	Yes	No	Yes	Yes	Y-D & P12
PA	Yes	21	21	21		Y-Ltd. 7	Misdemeanor	Yes	No	Yes	No	Yes-D
RI	Yes	21	21	21 4		No	Petty Misd.	Yes	Yes	Yes	Yes	No
SC	Yes	21	21	None	home/rel/employ	No	Misdemeanor	Yes	Y-Limited	Yes	Yes	Yes-D & P
SD	Yes	21	21	21	religious	No	Class 1 Misd.	Yes	No	Yes	Yes	Yes
TN	Yes	21	21	21	employment	No	Class A Misd.	Yes	No	No	Yes-D	Yes-D
TX	Yes	21	21	21	parent/employ	Y-Limited	Misdemeanor	Yes 10	Yes	No	No	Yes-D
UT	Yes	21	21	21		Y-Limited	Class A Misd.	Yes	Y-Limited	No	Yes	Yes-D & P
VT	Yes	21 6	21 6	21	employment	Yes	Misdemeanor	Yes	No	No	No	Yes-D
VA	Yes	21	21	None	parent/employ	No	Class 1 Misd.	Yes	Yes	No	No	Yes-D
WA	Yes	21	21	21	par/med/religious	No	Gross Misd.	Yes	No	No	Yes	Yes-D & P
WV	Yes	21	21	21		No	Misdemeanor	Yes	No	No	No	Yes-D & P
WI	Yes	21	21 5	21 5	parent	Yes 7	Misdemeanor	Yes	No	Yes	Yes	Yes-D & P
WY	Yes	21	21 5	None	parent/employ	Y-Ltd. 7	Misdemeanor	Yes	No	No	No	No

\* "D" refers to drivers

\*\* "D & P" refers to drivers and passengers

Source: Digest of State Alcohol-Highway Safety Related Legislation, 18th Edition, National Highway Traffic Safety Administration (NHTSA)  
Graduated Licensing Law Information: NHTSA web site at [www.nhtsa.dot.gov](http://www.nhtsa.dot.gov)

## LEGISLATIVE STATUS REPORT FOOTNOTES

- <sup>1</sup> In Alabama, under certain circumstances using constitutional principles of double jeopardy, a person, who has been prosecuted for a criminal violation of the alcoholic beverage control laws, cannot be assessed a civil fine for the same offense.
- <sup>2</sup> A licensee, which has been certified as a “responsible vendor,” may be exempt from a license suspension or revocation or have that penalty be mitigated. Responsible vendors are those that have been certified in or provided a special training program to employees and/or managers on how to prevent the sale or service of alcoholic beverages to an underage person. States: Alabama, Florida, and Louisiana.
- <sup>3</sup> It is not a crime for a minor to purchase alcoholic beverages. However, it is a crime for a minor to make a “false statement” about their age or “misrepresent” their age in order to obtain alcoholic beverages. States: Delaware, Florida, Indiana, Maryland, Montana, and New Hampshire. In New York, it is a crime for a minor to use “written evidence” of their age, which is false or fraudulent.
- <sup>4</sup> Applies to consumption of alcoholic beverages on premises of licensed establishments. States: California, Nevada, and Rhode Island. In Florida and New Mexico, a licensee cannot allow a person under 21 years old to consume alcoholic beverages on the licensed premises.
- <sup>5</sup> Applies to possession in a public place. States: Connecticut, Nevada, and Wyoming. In New Jersey and Wisconsin applies to both possession and consumption.
- <sup>6</sup> A person under 21 years old may consume alcoholic beverages as part of a educational curriculum. States: Hawaii (wine tasting as part of course in food and beverage control, club management offered by the University of Hawaii), Michigan (course at a post-secondary educational institution), New York (consumption and possession), and Vermont (purchase and possession).
- <sup>7</sup> Liability in the state’s dram shop/social host law is limited to situations where the social host served alcoholic beverages to a minor. States: Alabama, Arizona, Colorado, Louisiana, Maine, Michigan, Minnesota, Mississippi, Montana, New York, Ohio, Pennsylvania, Wisconsin, and Wyoming. In Iowa, social host must “physically” serve alcoholic beverage to a minor.
- <sup>8</sup> In Michigan, state/local police cannot charge or suspend/revoke or take other action against a licensee violating the law prohibiting the sale of alcoholic beverages to persons under 21 years old, unless the law enforcement agencies also charge the minors involved in the offense.
- <sup>9</sup> In Minnesota, a person under 21 years old may purchase alcoholic beverages if they are under the supervision of a person over 21 years old and the purpose is for training, educational or research purposes.
- <sup>10</sup> In lieu of a suspension, a licensee may pay a “cash penalty” or civil fine for each day of the suspension. In Nebraska, for a first offense, the “cash penalty” is \$50 per day and for 2nd and subsequent offenses within four years, the “cash penalty” is \$100 per day. In Texas, the civil fine is \$150 per day.
- <sup>11</sup> In Delaware, applies to persons who consume an alcoholic beverage while “operating a motor vehicle in the presence of, or in the view of, a police officer.”
- <sup>12</sup> Exemptions in the case of motor vehicles for hire. States: Hawaii, New Jersey and Oregon.
- <sup>13</sup> In Indiana, law only applies if vehicle operator has a BAC of .04 or above.
- <sup>14</sup> In Kansas, law only applies to alcoholic beverages, which have an alcoholic content of 3.2% or above.
- <sup>15</sup> In Maryland, law is limited to certain public parking areas.
- <sup>16</sup> In Montana, law applies to “common carriers.”
- <sup>17</sup> In Oklahoma, the felony charge applies to alcoholic beverages of 3.2% and above. The criminal charge is a misdemeanor for low point beer.

## APPENDIX 6 – Community How To Guide On...ENFORCEMENT

### PILOT PROJECTS

Cities, counties and neighborhoods across America are confronting the problem of underage drinking and its consequences. As the professional organization representing the chief highway safety officers from each state, the District of Columbia and the U.S. territories, the National Association of Governors' Highway Safety Representatives (NAGHSR) is committed to helping reduce illegal underage alcohol consumption and curb the terrible toll underage drinking takes on our society.

#### *Underage Drinking Pilot Project*

In March 1995, NAGHSR launched a pilot project on underage drinking funded by the National Highway Traffic Safety Administration (NHTSA). The pilot assisted five communities in developing and implementing comprehensive underage drinking prevention programs based on a model initiated in the Washington, D.C. area in 1992 at the direction of the U.S. Congress.

The five NAGHSR pilot sites included Chesterfield County, VA; Travis County (Austin), TX; Omaha, NE; Detroit, MI and Salt Lake City, UT. In the first year, the project focused on developing broad-based community coalitions and helping those coalitions undertake an extensive needs assessment regarding the nature, extent and consequences of underage drinking in their communities.

Based on the information obtained during the needs assessment process, each site developed a comprehensive strategic plan that was implemented in subsequent years. The strategic plans included goals and objectives that were directly related to specific problems identified in the needs assessment. The objectives were specific and measurable so that progress could be tracked over time.

Each of the communities demonstrated success and four of the five programs continue to operate. The Travis County Underage Drinking Prevention Project in Austin, TX was nominated for a national award by the state's highway safety office and the Safe and Sober Youth Project in Chesterfield County, VA continues to expand its operations and activities to other counties. Project Extra Mile in Omaha, NE and Save Our Youth in Salt Lake City, UT are actively involved in underage drinking efforts funded through the Office of Juvenile Justice and Delinquency Prevention (OJJDP). Project Extra Mile has received extensive media coverage and was the recipient of a 1999 Award from the National Commission Against Drunk Driving. The programs have succeeded in building awareness of the problem in their communities, mobilizing key members of the community to take action and changing policies, procedures and laws that directly relate to underage drinking.

#### *Rapid Response Team*

To build on the success of the demonstration projects, NAGHSR and NHTSA decided in March 1998 to pilot test another approach to providing technical assistance to communities working to prevent underage drinking. The revised concept involved intense, short-term assistance to communities provided by a team of nationally recognized experts. State highway safety offices were invited to nominate communities to receive the technical assistance and NAGHSR and NHTSA chose six sites in various states. The "on-site" intensive technical assistance was christened the "Rapid Response Team." The team provided technical assistance for coalitions, which already existed, but were having difficulty designing and implementing underage drinking prevention programs. Assistance by the Rapid Response Team gave each site a "jump start" in developing or strengthening comprehensive, needs-based underage drinking prevention programs.

### *Pilot Projects continued*

The six communities selected to participate in the pilot, which was conducted from March 1998 to September 30, 1999, included Rio Arriba County, NM; Tippecanoe County, IN; Oswego County, NY; Hermantown, MN; Lenoir County, NC, and Prince George's County, MD. Each of the six communities received the following:

- Assistance in completing a self-assessment to determine the nature and extent of the underage drinking problem in their community.
- A three-day site visit by the team of nationally recognized experts, who worked with local advocates and officials to guide them in developing a comprehensive program. Members of the team included experts in:
  - Coalition building, needs assessment and strategic planning
  - Enforcement and adjudication
  - Community development
  - Youth programs and school-based prevention
  - Public policy
  - Media relations
- Additional technical assistance was provided for three months from the team by phone, fax and e-mail.

NAGHSR also led the first phase of technical assistance for the Department of Justice's Office of Juvenile Justice and Delinquency Prevention (OJJDP) nationwide program, Enforcing Underage Drinking Laws. In this effort, the NAGHSR team reviewed the underage drinking prevention plans for all 50 states and the District of Columbia and provided training for forty-eight states and the District of Columbia. The NAGHSR *Community How To Guides on Underage Drinking Prevention* contain information gleaned from the experience of the Washington, D.C., area model (the Washington Regional Alcohol Program and Drawing the Line on Underage Alcohol Use), the eleven sites in the two NAGHSR pilot projects, and the OJJDP technical assistance.

## ACKNOWLEDGEMENTS

The National Association of Governors' Highway Safety Representatives (NAGHSR) and the National Highway Traffic Safety Administration (NHTSA) wishes to thank the leaders in the NAGHSR underage drinking pilot sites and the rapid response pilot sites for their leadership and enthusiasm to address underage drinking within their communities.

The personnel involved in the first pilot effort include the following: Diane Riibe of Project Extra Mile in Omaha, Nebraska; Pat Farris, former Executive Director of Children At Risk Today in Chesterfield County, Virginia; Gloria Souhami of the Travis County Underage Drinking Prevention Project in Austin, Texas; Cherilynn Uden of Save Our Youth in Salt Lake City, Utah and Alma Gale, with the Bureau of Substance Abuse in Detroit, Michigan.

The pilot of the rapid response initiative involved the following individuals: Juan Roybal of the DWI Prevention Council in Rio Arriba County, New Mexico; Sally McIntire and Debbie Lowe of the Coalition for a Drug-Free Tippecanoe County in Lafayette, IN; Jane Murphy, Karen Hoffman and Barbara Canale of Take Charge Coalition in Oswego, New York; Barbara LaRoque of the Lenoir County Initiative to Reduce Underage Drinking, Kinston, North Carolina; Chris Olafson and Dave Thompson of the Learners At Risk Committee in Hermantown, Minnesota, and Dana Gigliotti of the Prince George's Highway Safety Task Force in Prince George's County, Maryland.

## APPENDIX 6 – Community How To Guide On...ENFORCEMENT

### *Pilot Projects continued*

The success of the rapid response pilot was due to the involvement of the team of experts who provided valuable assistance and expertise. Individuals who participated as part of the Rapid Response Team included Marie Bishop, former Governor's Highway Safety Representative in Idaho; Jim Copple, National Crime Prevention Council; Johnnetta Davis, Pacific Institute for Research and Evaluation; Captain Tom Didone, Montgomery County, Maryland Police Department; Nancy Chase Garcia, Garcia Consulting (formerly with Center for Substance Abuse Prevention); Andrew Hill, Higher Education Center for Alcohol and Other Drug Prevention; Officer William Morrison, Montgomery County, Maryland Police Department; Pat Nechodom, University of Utah; Nancy Rea, Drawing the Line on Underage Alcohol Use, Montgomery County, Maryland; Judy Robinson, Higher Education Center for Alcohol and Other Drug Prevention; Mary Ann Solberg, Troy Community Coalition; Ed Virant, Omaha Public Schools, and Lt. Dick Yost, Phoenix, Arizona Police Department.

Thanks also goes to the many individuals, coalitions and organizations whose ongoing dedication to reducing underage drinking provided an opportunity to test the validity of NAGHSR's comprehensive approach. Their ideas, enthusiasm and commitment demonstrate there is a willingness across this nation to tackle the problem of underage drinking.





# Community How To Guide On...ENFORCEMENT RESOURCE LISTING



## RESOURCES FOR EFFECTIVE ENFORCEMENT STRATEGIES

### ***Alcohol Unit***

#### **Drawing the Line on Underage Alcohol Use**

Coordinator  
Montgomery County Department of Health and  
Human Services  
8630 Fenton Street, 10th Floor  
Silver Spring, MD 20910  
240-777-1123  
240-777-3054  
E-mail: [nancy.rea@co.mo.md.us](mailto:nancy.rea@co.mo.md.us)  
Web site: [http://www.co.mo.md.us/services/hhs/  
pubhlth/dtl/dtl.html](http://www.co.mo.md.us/services/hhs/pubhlth/dtl/dtl.html)

#### **Montgomery County Police Department**

Alcohol Initiatives Section  
9125 Gaither Road  
Gaithersburg, MD 20877  
301-840-2672  
Fax: 301-840-2463

Officer William Morrison  
25104 Hickory Ridge Lane  
Gaithersburg, MD 20882  
301-930-1011 pager

### ***Controlled Dispersal***

#### **Montgomery County Police Department**

Alcohol Initiatives Section  
9125 Gaither Road  
Gaithersburg, MD 20877  
301-840-2672  
Fax: 301-840-2463

Officer William Morrison  
25104 Hickory Ridge Lane  
Gaithersburg, MD 20882  
301-930-1011 pager

#### **Phoenix Police Department**

Youth Alcohol Squad  
302 East Union Hills Drive  
Phoenix, AZ 85024  
602-534-0815  
Fax: 602-256-4189  
E-mail: [youthalcoholsquad@yahoo.com](mailto:youthalcoholsquad@yahoo.com)

#### **Salt Lake County Sheriff's Department**

Lt. Andy Burton  
12830 South Redwood Road  
Riverton, UT 84065  
801-446-0371  
Fax: 801-446-0376

### ***Compliance Checks***

#### **Project Extra Mile**

Executive Director  
302 South 36th Street, Suite 214  
Omaha, NE 68131  
402-345-5000  
Fax: 402-231-4307  
E-mail: [driibe@alltel.net](mailto:driibe@alltel.net)

#### **Texas Alcoholic Beverage Commission**

P.O. Box 13127  
Austin, TX 78711  
512-206-3333  
Fax: 512-206-3350  
E-mail: [questions@tabc.state.tx.us](mailto:questions@tabc.state.tx.us)

# Community How To Guide On...ENFORCEMENT

## RESOURCE LISTING

### ***Cops In Shops***

#### **The Century Council**

1310 G Street, NW, Suite 600  
Washington, DC 20005  
202-637-0077  
Fax: 202-637-0079

### ***Fake or Falsified Identification***

#### **Pennsylvania Liquor Control Board**

Northwest Office Building, Room 602  
Harrisburg, PA 17124-1321  
717-772-1432  
Web site: <http://www.lcb.state.pa.us>

#### **Driver's License Guide Company**

P.O. Box 5305  
Redwood City, CA 94063-2607  
1-800-227-8827

### ***Party Buster Hotline***

#### **Pennsylvanians Against Underage Drinking**

Northwest Office Building, Room 603  
Harrisburg, PA 17124-0001  
800-537-6531 or 717-705-0859  
Web site: <http://www.lcb.state.pa.us.edu>

### ***Law Enforcement Workshop***

#### **International Association of Chiefs of Police**

515 North Washington Street  
Alexandria, VA 22314  
703-836-6767 (800) 843-4227  
Fax: 703-836-4543  
Web site: <http://www.theiacp.org>

### ***Teen Court and Juvenile Holdover Programs***

#### **American Probation and Parole Association**

P.O. Box 11910

Lexington, KY 40578

(606) 244-8215

Fax: (606) 244-8001

Web site: <http://www.appa-net.org>

### ***Judicial Outreach***

#### **Beyond the Bench**

National Highway Traffic Safety Administration  
Traffic Safety Programs  
400 Seventh St., SW  
Washington, D.C. 20590  
202-366-9588  
Fax: 202-366-2766  
Web site: <http://www.nhtsa.dot.gov>

### **Courageous Decisions Program**

520 West Big Beaver Road  
Troy, Michigan 48084  
248-526-6700  
Fax: 248-526-6702  
Web site: <http://www.Courage2000.com>  
E-mail: Blackrobe@Courage2000.com

### **Safe and Sober Youth Coalition**

Executive Director  
Children at Risk Today  
14005 Steeplestone Drive, Suite A  
Midlothian, VA 23113  
804-378-7752  
Fax: 804-378-7752

### ***Community Service***

#### **Travis County Underage Drinking Prevention Project**

P.O. Box 1748  
Austin, TX 78767  
512-473-4229  
Fax: 512-473-9316  
E-mail: [gloria.souhami@co.travis.tx.us](mailto:gloria.souhami@co.travis.tx.us)



# Community How To Guide On...ENFORCEMENT RESOURCE LISTING



## PUBLICATIONS ON EFFECTIVE ENFORCEMENT STRATEGIES

### **Obstacles to Enforcement of Youthful (Under 21) Impaired Driving (DOT HS 807-878)**

National Highway Traffic Safety Administration  
Traffic Safety Programs  
400 Seventh St., SW  
Washington, D.C. 20590  
202-366-9588  
Fax: 202-366-2766  
*Web site: <http://www.nhtsa.dot.gov>*

### **Retail Oriented Best Practices for Underage Drinking (DOT HS 808 618)**

National Highway Traffic Safety Administration  
Traffic Safety Programs  
400 Seventh St., SW  
Washington, D.C. 20590  
202-366-9588  
Fax: 202-366-2766  
*Web site: <http://www.nhtsa.dot.gov>*

### **Sentencing and Disposition of Youth DUI and Other Alcohol Offenses (DOT HS 808 891)**

National Highway Traffic Safety Administration  
Traffic Safety Programs  
400 Seventh St., SW  
Washington, D.C. 20590  
202-366-9588  
Fax: 202-366-2766  
*Web site: <http://www.nhtsa.dot.gov>*

### **Strategies to Reduce Underage Alcohol Use: Typology and Brief Overview**

The Underage Drinking Enforcement  
Training Center  
Pacific Institute for Research and Evaluation  
11140 Rockville Pike, 6th Floor  
Rockville, MD 20852  
301-984-6500  
Fax: 301-984-6559  
*Web site: <http://www.pire.org/udetc>*

### **Strategies for Success in Combating Juvenile DUI (DOT HS 808 845)**

National Highway Traffic Safety Administration  
Traffic Safety Programs  
400 Seventh St., SW  
Washington, D.C. 20590  
202-366-9588  
Fax: 202-366-2766  
*Web site: <http://www.nhtsa.dot.gov>*

# Community How To Guide On...ENFORCEMENT RESOURCE LISTING

## OTHER RESOURCES CITED IN COMMUNITY HOW TO GUIDE

### **Mothers Against Drunk Driving (MADD)**

511 East John Carpenter Freeway, Suite 700  
Irving, TX 75062  
214- 744-6233  
800-GET-MADD

*Web site: <http://www.madd.org>*

Mothers Against Drunk Driving is a non-profit grass roots organization with more than 600 chapters nationwide. MADD's focus is to look for effective solutions to the drunk driving and underage drinking problems, while supporting those who have already experienced the pain of these senseless crimes. In the Under 21 area, MADD sponsors the following programs: Parenting-The Key, Youth In Action, Student Activist Training, Poster Essay Contest, Take the Lead, and the National Youth Summit to Prevent Underage Drinking.

### **National Alcohol Beverage Control Association (NABCA)**

4216 King Street, West  
Alexandria, VA 22302  
703-578-4200  
Fax: 703-820-3551

*Web site: <http://www.nabca.org>*

NABCA is an organization representing alcohol control state officials. The association provides opportunities for these officials to discuss common problems, share experiences and learn about strategies employed in other control jurisdictions (such as Canada and the Scandinavian countries). Control states are known for their direct control over the retail and/or wholesale distribution of alcohol beverages.

### **National Association of Governors' Highway Safety Representatives (NAGHSR)**

750 First St., NE, Suite 720  
Washington, D.C. 20002  
202-789-0942  
Fax: 202-789-0946  
*Web site: <http://www.naghsr.org>*

### **National District Attorneys Association**

99 Canal Center Plaza, Suite 510  
Alexandria, VA 22314  
703-549-9222  
Fax: 703-836-3195  
*Web site: <http://www.ndaa.org>*

The National District Attorney Association (NDAA) was formed in 1950 in response to the growth of crime and the increasing demand for community protection. NDAA is the largest national professional organization specifically serving the needs of prosecutors in the United States. NDAA influences public policy affecting the safety of America's communities by advocating prosecutorial views through contacts with the White House, Congress, U.S. Department of Justice and other government agencies.

### **National Institute on Alcohol Abuse and Alcoholism (NIAAA)**

6000 Executive Boulevard, Suite 409  
Bethesda, MD 20892-7003  
301-443-3860  
*Web site: <http://www.niaaa.nih.gov>*

The National Institute on Alcohol Abuse and Alcoholism (NIAAA) supports and conducts biomedical and behavioral research on the causes, consequences, treatment, and prevention of alcoholism and alcohol-related problems. NIAAA also provides leadership in the national effort to reduce the severe and often fatal consequences of these problems.



## Community How To Guide On...ENFORCEMENT RESOURCE LISTING



### **Office of Juvenile Justice and Delinquency Prevention (OJJDP)**

810 7th Street, NW  
Washington, DC 20531  
202-307-5911  
Fax: 202-307-2093

*Web site: <http://www.ojjdp.ncjrs.org>*

The mission of OJJDP is to provide national leadership, coordination, and resources to prevent juvenile victimization and respond appropriately to juvenile delinquency. This is accomplished through developing and implementing prevention programs and a juvenile justice system that protects the public safety, holds juvenile offenders accountable, and provides treatment and rehabilitative services based on the needs of each individual juvenile.

### **Partners Against Crime (PAC)**

163 Madison Avenue, Suite 207  
Detroit, MI 48226-2135  
313-964-1110  
Fax: 313-964-1145

*Web site: <http://www.comnet.org/vip>*

PAC is an innovative probation enhancement program operated by Volunteers in Prevention, Probation and Prisons, Inc. (VIP), a national, nonprofit organization dedicated to reducing crime through volunteer efforts. PAC works with the juvenile courts to help keep juveniles from becoming repeat offenders. PAC matches trained volunteers from the community to serve as mentors to juvenile offenders. The program also expects parental involvement.

### **Police Executive Research Forum (PERF)**

1120 Connecticut Avenue, NW, Suite 930  
Washington, DC 20036  
202-466-7820

*Web site: <http://www.policeforum.org>*

The Police Executive Research Forum (PERF) is a national membership organization of progressive police executives from the largest city, county and state law enforcement agencies. PERF is dedicated to improving policing and advancing professionalism through research and involvement in public policy debate.

# Community How To Guide On...ENFORCEMENT

## RESOURCE LISTING

### OTHER UNDERAGE DRINKING ENFORCEMENT RESOURCES

#### **Alcohol Compliance Checks: A Procedure Manual for Enforcing Alcohol Age-of-Sale Laws**

Epidemiology Program, School of Public Health  
University of Minnesota  
1300 South Second Street, #300  
Minneapolis, MN 55454-1015  
612-624-3818

*Web site: <http://www/umn.edu>*

The manual provides a step-by-step guide to planning and implementing the checks, the selection of appropriate sites, merchandise and buyers, and communicating with merchants and the community.

#### **Community Action Guide to Save Lives! Strategies to Reduce Underage Access to Alcohol and Save Lives in Your Community**

Join Together  
441 Stuart Street  
Boston, MA 02116  
617-437-1500  
Fax: 617-437-9394

*Web site: <http://www.jointogether.org>*

This guide begins with a brief discussion of key factors for building community support. It then presents five recommendations for reducing youth access, possible action steps, success stories and additional resources.

#### **Graduated Licensing: A Blueprint for North America**

Insurance Institute for Highway Safety  
1005 North Glebe Road, Suite 800  
Arlington, VA 22201  
703-247-1500  
Fax: 703-247-1588

*Web site: <http://www.hwysafety.org>*

This resource describes the reasons for graduated licensing, including the use of three stages of driving privileges. It also provides specific recommendations for central components of such a licensing process.

#### **Juvenile Justice Clearinghouse**

P.O. Box 6000  
Rockville, MD 20849-6000  
800-638-8736  
Fax: 301-519-5212

*Web site: <http://www.ncjrs.org>*

Contains the latest facts and figures on juvenile justice, delinquency prevention, violence and victimization.

#### **National Council of Juvenile and Family Court Judges**

P.O. Box 8970  
Reno, NV 89502  
702-784-6012

*Web site: <http://www.ncjfcj.unr.edu>*

The National Council has responded to the problem of alcohol and drug abuse in juvenile and family courts with a broad-based substance abuse program focusing on judicial policy and practice. Issues range from judicial leadership for community-based prevention, intervention and treatment alternatives to perinatal issues affecting mothers and their infants. Judicial education and training for alcohol and other drug abuse responses are offered through curricula, publications, courses, workshops and conferences nationwide.



## Community How To Guide On...ENFORCEMENT RESOURCE LISTING



### **National Crime Prevention Council (NCPC)**

1700 K Street, NW, 2nd Floor  
Washington, DC 20006-3817  
202-261-4115

*Web site: <http://www.ncpc.org>*

The National Crime Prevention Council (NCPC) is a private, nonprofit, tax-exempt organization whose mission is to prevent crime and build safer, more caring communities. In the late 1970s, the prevailing public attitude was "you can't do anything about crime." A varied group of individuals - government policy makers, law enforcement, business, labor leaders - disagreed. This group founded the National Citizens' Crime Prevention Campaign featuring McGruff the Crime Dog, the nation's symbol for crime prevention.

### **National Criminal Justice Reference Service**

P.O. Box 6000  
Rockville, MD 20849-6000  
Tel: 1-800-851-3420 or 301-519-5500

*Web site: <http://www.ncjrs.org>*

The National Criminal Justice Reference Service (NCJRS) is one of the most extensive sources of information on criminal and juvenile justice in the world, providing services to an international community of policymakers and professionals. NCJRS is a collection of clearinghouses supporting all bureaus of the U.S. Department of Justice, Office of Justice Programs: the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, the Bureau of Justice Statistics, the Bureau of Justice Assistance, the Office for Victims of Crime, and the OJP Program Offices. It also supports the Office of National Drug Control Policy.

### **National Sheriffs' Association**

Youth Project  
1450 Duke Street  
Alexandria, VA 22314-3490  
703-836-7827

*Web site: <http://www.sheriffs.org>*

### **Preventing Youth Access to Alcohol from Commercial Sources: Strategizer No. 28**

Community Anti-Drug Coalitions of America (CADCA)  
901 North Pitt St., Suite 300  
Alexandria, VA 22314  
703-706-0560  
Fax: 703-706-0565

*Web site: <http://www.cadca.org>*

This strategizer summarizes the key policies that can be enacted to reduce youth access to alcohol. The policies include compliance checks, administrative penalties, and age identification policies. It also lists strategies that merchants can implement, such as server/seller training.

### **Promising Approaches in the Prevention of Underage Drinking: Case Studies of State Activities**

National Association of Governors' Highway Safety Representatives (NAGHSR)  
750 First St., NE, Suite 720  
Washington, D.C. 20002  
202-789-0942  
Fax: 202-789-0946

*Web site: <http://www.naghsr.org>*

This report, compiled by NAGHSR and the National Association of State Alcohol and Drug Abuse Directors, profiles ten state programs that address underage drinking. Included in the book is a program in Massachusetts to train servers and sellers of alcohol and a Fraudulent ID Task Force in Virginia.

# Community How To Guide On...ENFORCEMENT

## RESOURCE LISTING

### **Saving Teenage Lives: The Case for Graduated Licensing (DOT HS 808-801)**

National Highway Traffic Safety Administration  
Traffic Safety Programs  
400 Seventh St., SW  
Washington, D.C. 20590  
202-366-9588  
Fax: 202-366-2766  
*Web site: <http://www.nhtsa.dot.gov>*

This report discusses the importance of graduated driver licensing, a three-stage process of licensure. These stages include learner's permit, provisional license, and license. Teenage drivers are three times more likely to be involved in fatal traffic crashes than all other drivers. This is due to inexperience, risk-taking behavior, immaturity and greater risk exposure. The report includes a series of questions and answers on graduated driver licensing, along with a Graduated Driver Licensing Model Law.

### **The Underage Drinking Enforcement Training Center**

Pacific Institute for Research and Evaluation  
11140 Rockville Pike, 6th Floor  
Rockville, MD 20852  
301-984-6500  
Fax: 301-984-6559  
*Web site: <http://www.pire.org/udetc>*

The goal of the Underage Drinking Enforcement Training Center web site is to facilitate the delivery of training and technical assistance for the Office of Juvenile Justice and Delinquency Prevention's (OJJDP) Enforcing Underage Drinking Laws initiative. The site is designed to provide information to help states and communities create effective solutions to the underage drinking problem.

### **Youth DUI and Underage Enforcement**

Traffic Safety Programs  
NHTSA, NTS-13  
400 Seventh Street, SW  
Washington, DC 20590  
202-366-9588  
Fax: 202-366-2766  
*Web site: <http://www.nhtsa.dot.gov>*  
E-mail: [srichardson@nhtsa.dot.gov](mailto:srichardson@nhtsa.dot.gov)

This resource manual addresses the issues of underage alcohol consumption and youth DWI enforcement. This manual provides a how to guide for conducting compliance checks, Cops-In-Shops programs, saturation patrols for youth, party patrols and other youth enforcement programs.

### **Zero Tolerance**

Highway Safety Specialist  
400 7th Street, SW, NTS-11  
Washington, DC 20590  
202-366-2724  
Fax: 202-366-2766  
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This program was developed by the BACCHUS and GAMMA Peer Education Network, a college alcohol abuse prevention national organization, and SADD (Students Against Destructive Decisions). The program developed teams of high school and college students and law enforcement officials to increase awareness and enforcement of zero tolerance laws.